

BALANCES OF BUDGET AUTHORITY

Budget for Fiscal Year 1999

Budget Review and Concepts Division
Budget Concepts Branch

TABLE OF CONTENTS

Page

NARRATIVE

| | |
|---|----|
| Narrative Explanation of Balances of Budget Authority in the FY 1999 Budget | 1 |
| Total Federal and Trust Fund Unexpended Balances | 4 |
| Federal Fund Balances | 7 |
| Federal Fund Unobligated Balances | 9 |
| Trust Fund Balances | 47 |
| Bridges from Authorization to Cash Balances | 54 |
| Report on the Effect of Certain Payments and Adjustments to Old Balances on the Federal Deficit | 57 |
| Credit Reform - Financing Accounts with Unobligated Balances | 59 |

CHART

| | | |
|---|--|----|
| 1 | Federal Fund Unobligated Balances, By Category | 13 |
|---|--|----|

TABLES

Summary Tables

| | | |
|---|--|---|
| 1 | Summary of Unexpended Balances | 5 |
| 2 | Total Unexpended Balances, by Agency | 6 |

Federal Funds

| | | |
|---|--|----|
| 3 | Federal Fund Obligated Balances, by Agency | 8 |
| 4 | Federal Fund Unobligated Balances, by Agency | 10 |

| | Page |
|--|-------------|
| 5 Federal Fund Unobligated Balances, by Type of Program, Detail | |
| Critical Reserves | 14 |
| Capital | 19 |
| Other Programs | 29 |
| 6 Federal Fund Unavailable Collections, by Agency | 46 |

Trust Funds

| | |
|---|----|
| 7 Trust Fund Obligated Balances, by Agency | 49 |
| 8 Trust Fund Obligated Balances, by Major Funds | 50 |
| 9 Trust Fund Unobligated Balances, by Agency | 51 |
| 10 Trust Fund Unobligated Balances, by Major Funds | 52 |
| 11 Trust Fund Unavailable Collections, by Agency | 53 |

Other Tables

| | |
|---|----|
| 12 Federal Fund Bridge from Authorization Basis to Cash Balances | 54 |
| 13 Trust Fund Bridge from Authorization Basis to Cash Balances | 55 |
| 14 Adjustments in Expired Accounts | 57 |
| 15 Credit Reform Financing Accounts | 59 |

APPENDIX

| | |
|--|----|
| Detailed Description of Classification Used in Table 5 | 61 |
| Critical Reserves | 62 |
| Capital | 63 |
| Other Miscellaneous Programs | 63 |

GENERAL NOTES

- , All years in the tables are fiscal years.
- , Because of rounding, the detailed amounts in tables and charts may not add to the totals.
- , Data for the off-budget Postal Service Fund (a Federal fund) and the two off-budget social security trust funds (Federal Old-Age and Survivors Insurance (OASI) and Federal Disability Insurance (DI)) are included in this report.

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BUDGET REVIEW AND CONCEPTS DIVISION, BUDGET CONCEPTS BRANCH

BALANCES OF BUDGET AUTHORITY

Budget for Fiscal Year 1999

Narrative Explanation

Government agencies are permitted to enter into obligations that result in immediate or future outlays only when they have been granted authority to do so by law. This authority is recorded as budget authority in the year that it first becomes available. Not all budget authority enacted for a fiscal year results in obligations and outlays in the same year.

This analysis presents information on the unexpended balances of budget authority for the end of fiscal years 1997, 1998, and 1999 as shown in the FY 1999 Budget. Unexpended balances of budget authority are the sum of obligated and unobligated balances for both Federal and trust funds.

- , **Unobligated balances** are the amounts of budget authority that have not yet been obligated.
- , **Obligated balances** are the amounts of obligations already incurred (e.g., contracts signed) for which payment has not yet been made but for which payments will be required.

Unobligated balances of budget authority (appropriations, contract authority, and authority to borrow) are carried forward from one year to the next only when authority to incur obligations in a succeeding period is specifically provided in law. Amounts that are obligated are carried as obligated balances until the obligations are paid or the authority is canceled.

In the aggregate, unexpended balances are the result of a lag between the time budget authority becomes available (e.g., when an appropriation is enacted) and the actual outlay of funds, which usually occurs when Treasury issues a check or electronically transfers the funds.

For most Government accounts, the interval between enactment of appropriations, the obligation of funds, and the related outlays is relatively short. For annual accounts, appropriations are made available for only one year and any unobligated amounts expire at the end of that fiscal year. However, the obligated, but not yet paid, portions of the expired annual appropriations are carried forward for five years after which the balances are permanently canceled.

In some cases, the Congress enacts appropriations or other forms of budget authority for a specified number of years (multiple-year authority) or until the objectives of the program have been achieved (no-year authority). It is in these instances that unobligated balances earmarked for specific programs are carried forward.

When the budget authority of multi-year funds expire, the obligated, but not yet paid, portions are carried forward for five fiscal years after which the balances are permanently canceled. No-year authority may be canceled by the head of the agency or the President if the purposes for which the funds were provided have been carried out and there has been no disbursement from the authority for two fiscal years. To the extent that balances have been cancelled, old bills can be paid from up to one percent of the balances in the current account.

The following charts and tables provide summary as well as detailed information on the unexpended balances on an authorization basis. Data are presented by agency, by program, and by fund. In addition, there are tables that:

- , provide a bridge from balances on an authorization basis to cash balances for both Federal and trust funds;
- , present the deficit effect of certain payments and adjustments to old balances (expired accounts); and
- , present the balances in credit reform financing accounts.

In addition, this report includes Federal fund and trust fund unavailable collections, Tables 6 (page 46) and 11 (page 53), respectively. Special or trust funds that have unappropriated receipts or receipts which are precluded from obligation because of a provision of law, such as a benefit formula or limitation on obligations in the past year (PY), current year (CY), or budget year (BY), will show these amounts as unavailable collections. Similarly, unavailable collections will also be shown in accounts that have offsetting collections which are unavailable for obligation because of limitations on obligations in the PY, CY, or BY.

TOTAL FEDERAL AND TRUST FUND UNEXPENDED BALANCES

Federal funds are the amounts collected and made available for the purposes of the Federal Government and not specified in law as being held in trust.

Trust funds are specifically designated by trust agreement or statute for specific purposes or programs. These monies are not available for the general purposes of the Government. Examples of trust funds include the highway, social security, and unemployment trust funds.

Federal funds are further classified as general, special, public enterprise revolving, intra governmental revolving, and management funds. Trust funds are further classified as regular (non-revolving) trust funds and trust revolving funds. A more detailed and technical explanation of the various funds is included in a document available from the Government Printing Office entitled, "Budget System and Concepts of the United States Government."

Table 1 on the following page is a summary table showing total unexpended balances. At the end of 1999, \$715 billion of the \$962 billions in unexpended balances (which is under three quarters of the balances) are estimated to be obligated. The rest are estimated to be unobligated. In addition, a little over eighty percent of the balances will be in Federal funds, while the remainder will be in trust funds. Table 2 (page 6) shows obligated balances and unobligated balances by agency for fiscal years 1997, 1998, and 1999.

TABLE 1

SUMMARY OF UNEXPENDED BALANCES

(In millions of dollars)

| Description | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Federal funds: | | | |
| Obligated balances..... | 534,191 | 559,283 | 570,209 |
| Unobligated balances..... | 247,311 | 207,079 | 200,282 |
| Total Federal fund unexpended balances..... | <u>781,502</u> | <u>766,362</u> | <u>770,491</u> |
| Trust funds: | | | |
| Obligated balances..... | 136,479 | 139,310 | 144,475 |
| Unobligated balances..... | 47,716 | 46,678 | 46,878 |
| Total trust fund unexpended balances..... | <u>184,195</u> | <u>185,988</u> | <u>191,353</u> |
| Total Federal and trust funds: | | | |
| Obligated balances..... | 670,670 | 698,593 | 714,684 |
| Unobligated balances..... | 295,027 | 253,757 | 247,160 |
| Total unexpended balances..... | <u>965,697</u> | <u>952,350</u> | <u>961,844</u> |

TABLE 2

TOTAL UNEXPENDED BALANCES, BY AGENCY

(In millions of dollars)

| Department or other unit | Start 1997 | | End 1997/ Start 1998 | | End 1998/ Start 1999 | | End 1999 | |
|---|----------------|------------------|-------------------------|------------------|-------------------------|------------------|----------------|------------------|
| | obli- gated | unobli- gated | obli- gated | unobli- gated | obli- gated | unobli- gated | obli- gated | unobli- gated |
| Legislative Branch | 753 | 1,383 | 637 | 1,542 | 631 | 1,315 | 612 | 1,357 |
| Judicial Branch | 327 | 646 | 373 | 695 | 424 | 505 | 424 | 473 |
| Department of Agriculture | 46,126 | 6,683 | 39,949 | 6,063 | 38,683 | 4,561 | 38,680 | 5,814 |
| Department of Commerce | 4,054 | 594 | 3,561 | 513 | 4,084 | 56 | 4,468 | 47 |
| Department of Defense--Military | 191,082 | 29,733 | 145,845 | 27,041 | 146,154 | 30,045 | 149,492 | 31,226 |
| Department of Education | 21,945 | 4,404 | 26,043 | 2,771 | 27,505 | 5,040 | 30,674 | 5,115 |
| Department of Energy | 8,346 | 2,793 | 7,599 | 3,147 | 8,453 | 1,933 | 9,732 | 1,477 |
| Department of Health and Human Services | 60,871 | 4,349 | 64,199 | 9,774 | 69,943 | 6,362 | 75,717 | 580 |
| Department of Housing and Urban Development | 151,333 | 31,958 | 121,196 | 41,673 | 125,205 | 27,377 | 120,768 | 20,922 |
| Department of the Interior | 3,195 | 4,299 | 3,103 | 4,712 | 3,679 | 4,062 | 3,868 | 3,790 |
| Department of Justice | 7,867 | 3,352 | 10,536 | 3,340 | 15,366 | 1,493 | 15,989 | 1,519 |
| Department of Labor | 4,988 | 8,987 | 5,157 | 10,525 | 6,209 | 11,948 | 6,863 | 13,378 |
| Department of State | 1,597 | 528 | 1,503 | 582 | 1,534 | 506 | 1,724 | 541 |
| Department of Transportation | 48,590 | 5,931 | 47,401 | 6,119 | 51,193 | 3,859 | 53,731 | 2,624 |
| Department of the Treasury | 22,280 | 24,278 | 21,360 | 25,455 | 22,334 | 26,555 | 22,754 | 27,621 |
| Department of Veterans Affairs | 6,953 | 14,855 | 7,107 | 14,783 | 7,095 | 14,254 | 7,333 | 13,557 |
| Corps of Engineers | 1,253 | 1,877 | 253 | 2,368 | 562 | 2,677 | 387 | 2,278 |
| Other Defense Civil Programs | 2,590 | 150 | 2,648 | 143 | 2,735 | 140 | 2,800 | 139 |
| Environmental Protection Agency | 10,933 | 1,281 | 10,132 | 2,310 | 13,169 | -1 | 13,849 | --- |
| Executive Office of the President | 49 | 10 | 44 | 6 | 58 | --- | 59 | 1 |
| Federal Emergency Management Agency | 4,466 | 3,191 | 5,627 | 3,876 | 5,565 | 1,029 | 4,280 | 1 |
| General Services Administration | 5,106 | 2,907 | 726 | 4,014 | 1,409 | 2,556 | 1,940 | 1,821 |
| International Assistance Programs | 63,901 | 35,820 | 61,381 | 34,257 | 62,167 | 32,839 | 62,133 | 32,832 |
| National Aeronautics and Space Administration | 6,615 | 1,680 | 5,679 | 966 | 6,003 | 550 | 5,959 | 556 |
| National Science Foundation | 3,463 | 41 | 3,622 | 20 | 3,931 | 14 | 4,300 | 14 |
| Office of Personnel Management | 6,544 | 24,381 | 4,235 | 24,138 | 4,340 | 25,131 | 4,571 | 26,296 |
| Small Business Administration | 908 | 756 | 1,090 | 852 | 1,215 | 74 | 1,207 | 32 |
| Social Security Administration | 35,809 | 1,653 | 36,695 | 1,644 | 36,508 | 27 | 37,292 | 18 |
| Other Independent Agencies | 30,643 | 51,322 | 32,969 | 61,698 | 32,439 | 48,850 | 33,078 | 53,131 |
| TOTAL..... | 752,587 | 269,842 | 670,670 | 295,027 | 698,593 | 253,757 | 714,684 | 247,160 |
| MEMORANDUM | | | | | | | | |
| Federal funds..... | 613,705 | 223,147 | 534,191 | 247,311 | 559,283 | 207,079 | 570,209 | 200,282 |
| Trust funds..... | 138,882 | 46,695 | 136,479 | 47,716 | 139,310 | 46,678 | 144,475 | 46,878 |

FEDERAL FUNDS

Federal fund obligated and unobligated balances and unavailable collections are shown in Tables 3 through 6. Tables 7 through 11 present the same information for *trust* funds.

At the end of 1999, three quarters of the Federal fund balances are estimated to be obligated, while the rest of the Federal fund balances are estimated to be unobligated.

Table 3 presents Federal fund unobligated balances by agency.

TABLE 3

FEDERAL FUND OBLIGATED BALANCES, BY AGENCY

(In millions of dollars)

| Department or other unit | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Legislative Branch | 632 | 624 | 605 |
| Judicial Branch | 373 | 423 | 423 |
| Department of Agriculture | 39,913 | 38,637 | 38,638 |
| Department of Commerce | 3,561 | 4,084 | 4,468 |
| Department of Defense--Military | 145,232 | 145,520 | 148,821 |
| Department of Education | 26,043 | 27,505 | 30,674 |
| Department of Energy | 7,581 | 8,435 | 9,714 |
| Department of Health and Human Services | 40,043 | 46,062 | 51,438 |
| Department of Housing and Urban Development | 121,196 | 125,205 | 120,768 |
| Department of the Interior | 2,838 | 3,378 | 3,582 |
| Department of Justice | 10,519 | 15,350 | 15,959 |
| Department of Labor | 5,128 | 6,116 | 6,809 |
| Department of State | 1,503 | 1,533 | 1,723 |
| Department of Transportation | 7,260 | 8,420 | 6,531 |
| Department of the Treasury | 21,125 | 22,093 | 22,508 |
| Department of Veterans Affairs | 5,641 | 5,580 | 5,769 |
| Corps of Engineers | 138 | 444 | 292 |
| Other Defense Civil Programs | 21 | 25 | 22 |
| Environmental Protection Agency | 7,732 | 10,080 | 10,235 |
| Executive Office of the President | 44 | 58 | 59 |
| Federal Emergency Management Agency | 5,627 | 5,565 | 4,280 |
| General Services Administration | 726 | 1,409 | 1,940 |
| International Assistance Programs | 37,342 | 38,538 | 39,733 |
| National Aeronautics and Space Administration | 5,679 | 6,003 | 5,959 |
| National Science Foundation | 3,605 | 3,914 | 4,283 |
| Office of Personnel Management | 204 | 220 | 372 |
| Small Business Administration | 1,090 | 1,215 | 1,207 |
| Social Security Administration | 778 | 761 | 694 |
| Other Independent Agencies | 32,617 | 32,086 | 32,703 |
| TOTAL..... | 534,191 | 559,283 | 570,209 |

FEDERAL FUND UNOBLIGATED BALANCES

Federal fund unobligated balances are carried forward from one fiscal year to the next when the Congress enacts appropriations or other forms of budget authority for a specific program for a specified number of fiscal years (multi-year authority) or until the objectives of the program have been achieved (no-year authority). At the end of 1999, Federal fund unobligated balances are estimated to be \$200 billion or one fourth of total Federal fund balances. Table 4 on the following page presents Federal fund unobligated balances by agency.

Federal fund unobligated balances can be further classified into three major categories that indicate the reasons for the balances and their intended use. The balances for an account may fit the criteria of more than one category; however, the total amount pertaining to each account is placed in the category that best matches the predominant characteristic of the balances involved. These categories are:

- , Balances representing “**Critical Reserves**” to carry out credit liquidating programs, international monetary programs, and insurance and other programs.
- , “**Capital**” balances needed for capital investments, such as major construction and procurement projects, and working capital for revolving funds.
- , Balances earmarked for “**Other Programs**” such as subsidized housing, advance appropriation and forward funded programs, research and development, and other miscellaneous programs.

See the Appendix for more detailed descriptions of these categories.

TABLE 4

FEDERAL FUND UNOBLIGATED BALANCES, BY AGENCY

(In millions of dollars)

| Department or other unit | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Legislative Branch | 1,464 | 1,237 | 1,278 |
| Judicial Branch | 327 | 101 | 27 |
| Department of Agriculture | 5,658 | 4,211 | 5,464 |
| Department of Commerce | 513 | 56 | 47 |
| Department of Defense--Military | 26,848 | 29,914 | 31,082 |
| Department of Education | 2,771 | 5,040 | 5,115 |
| Department of Energy | 3,146 | 1,932 | 1,476 |
| Department of Health and Human Services | 9,734 | 6,325 | 543 |
| Department of Housing and Urban Development | 41,673 | 27,377 | 20,922 |
| Department of the Interior | 2,513 | 1,885 | 1,620 |
| Department of Justice | 3,245 | 1,392 | 1,424 |
| Department of Labor | 9,764 | 11,180 | 12,605 |
| Department of State | 500 | 434 | 469 |
| Department of Transportation | 1,852 | 1,001 | 570 |
| Department of the Treasury | 25,406 | 26,497 | 27,554 |
| Department of Veterans Affairs | 2,343 | 1,998 | 1,572 |
| Corps of Engineers | 1,875 | 2,134 | 1,764 |
| Other Defense Civil Programs | 6 | 6 | 5 |
| Environmental Protection Agency | 1,728 | -1 | --- |
| Executive Office of the President | 6 | --- | 1 |
| Federal Emergency Management Agency | 3,875 | 1,028 | --- |
| General Services Administration | 4,014 | 2,556 | 1,821 |
| International Assistance Programs | 34,252 | 32,838 | 32,831 |
| National Aeronautics and Space Administration | 950 | 532 | 538 |
| National Science Foundation | 6 | --- | --- |
| Office of Personnel Management | 153 | 52 | 52 |
| Small Business Administration | 852 | 74 | 32 |
| Social Security Administration | 1,644 | 27 | 18 |
| Other Independent Agencies | 60,193 | 47,253 | 51,452 |
| Allowances | --- | --- | --- |
| TOTAL..... | 247,311 | 207,079 | 200,282 |



As of the end of 1999, federal fund unobligated balances are estimated to decline from \$247 billion in 1997 to \$200 billion. The decline from the end of 1997 is primarily attributable to:

- , a \$17 billion decrease in the balances of subsidized housing programs since subsidy contracts are now for one year only;
- , a \$14 billion decrease to reflect the sunset on availability of Resolution Trust Corporation funds at the end of 1997;
- , a \$7 billion decrease in balances for Grants to States for Medicaid reflects the pattern of appropriation for this account. Each year the balances are taken into account before new appropriations are requested and only the amount estimated to be used is requested. In the actual (1997) and current years (1998) balances occur when obligations incurred are less than previously estimated;
- , a \$4 billion decrease in balances in credit liquidating accounts due to the 1997 amendments to the Federal Credit Reform Act enacted in the Balanced Budget Agreement. The amendments limited the use of the amounts in liquidating accounts solely for payments required during the year and required the transfer of any other unobligated balances to the Treasury as soon as practicable after the end of each fiscal year; and
- , a \$2 billion decrease in balances in the Family Support Payments to States program due to a modified treatment of child support collections under P.L. 104-93. Specifically, once a State enters Temporary Assistance to Needy Families, spending authority from offsetting collections is limited to incentive and hold harmless payments to the States. The net Federal share of collections after incentive and hold harmless payments are made to States is returned to the Treasury in a receipt account.



The chart on the following page illustrates that not only are total Federal fund unobligated balances declining in dollars, by the end of 1999, the proportion of the balances for programs other than those requiring “critical reserves” and “capital” investments are also decreasing from 23% of the total in 1997 to 11% of the total in 1999.

The table that follows the chart provides further detail on the agencies and programs classified in the three major categories. At the end of 1999, the majority of the \$22 billion balance in the “Other Programs” category will consist of balances from subsidized housing programs (\$2 billion); forward funded advance appropriation programs (\$7 billion); and research and development programs (\$5 billion). Line-by-line detail for the remaining \$8 billion in miscellaneous programs are listed on pages 32 through 44 of Table 5. In 1999, the largest balance is \$1.1 billion for special benefits in the Employment Standards Administration in the Department of Labor.

FEDERAL FUND UNOBLIGATED BALANCES, BY CATEGORY (In millions of dollars)

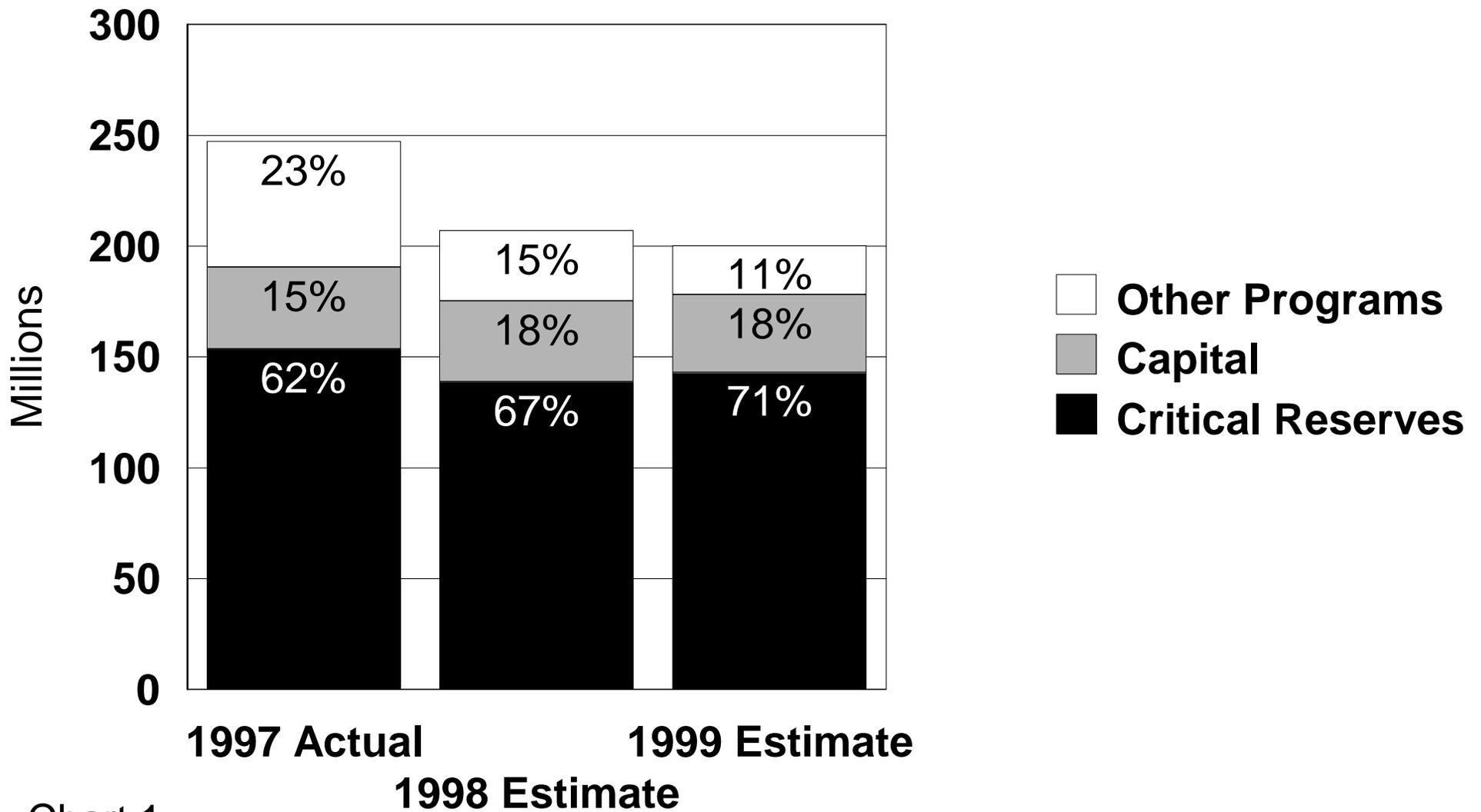


Chart 1

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| I. CRITICAL RESERVES | | | |
| CREDIT LIQUIDATING PROGRAMS | | | |
| Department of Agriculture | | | |
| Agricultural credit insurance fund liquidating account | --- | 87 | 87 |
| Commodity Credit Corporation guaranteed loans liquidating account | 85 | --- | --- |
| Rural communication development fund liquidating account | 1 | 1 | 1 |
| Rural development insurance fund liquidating account | 37 | 36 | 37 |
| Rural electrification and telecommunications liquidating account | 359 | 939 | 2,147 |
| Rural telephone bank liquidating account | 282 | 408 | 552 |
| Rural economic development loans liquidating account | 8 | 10 | 12 |
| Expenses, P.L. 480, foreign assistance programs, Agriculture | 136 | --- | --- |
| Department of Commerce | | | |
| Federal ship financing fund, fishing vessels liquidating account | 15 | --- | --- |
| Department of Health and Human Services | | | |
| Health Resources and Services | 39 | 42 | 37 |
| Health education assistance loans program | 5 | --- | --- |
| Medical facilities guarantee and loan fund | 66 | 63 | 55 |
| Health maintenance organization loan and loan guarantee fund | 10 | 10 | 10 |
| Department of the Interior | | | |
| Revolving fund for loans liquidating account | 6 | --- | --- |
| Indian loan guaranty and insurance fund liquidating account | 12 | --- | --- |
| Department of Education | | | |
| College housing and academic facilities loans liquidating account | 58 | --- | --- |
| Department of Transportation | | | |
| Federal ship financing fund liquidating account | 57 | --- | --- |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Department of Housing and Urban Development | | | |
| Nonprofit sponsor assistance liquidating account | 6 | --- | --- |
| FHA-Mutual mortgage and cooperative housing insurance funds liqu | 13,221 | 15,516 | 18,122 |
| FHA-General and special risk insurance funds liquidating account | 2,428 | --- | --- |
| Housing for the elderly or handicapped fund liquidating account | 920 | --- | --- |
| Guarantees of mortgage-backed securities liquidating account | 5,452 | 5,429 | --- |
| Small Business Administration | | | |
| Pollution control equipment fund liquidating account | 12 | --- | --- |
| Disaster loan fund liquidating account | 221 | --- | --- |
| Business loan fund liquidating account | 368 | --- | --- |
| Department of Veterans Affairs | | | |
| Veterans housing benefit program fund liquidating account | 57 | --- | --- |
| International Assistance Programs | | | |
| Economic assistance loans - liquidating account | 128 | --- | --- |
| Housing and other credit guaranty programs liquidating account | 63 | --- | --- |
| Private sector revolving fund liquidating account | 4 | --- | --- |
| Overseas Private Investment Corporation liquidating account | 116 | --- | --- |
| Export-Import Bank of the United States | | | |
| Export-Import Bank of the United States liquidating account | 1,271 | --- | --- |
| Farm Credit System Financial Assistance Corporation | | | |
| Financial assistance corporation assistance fund, liquidating ac | 504 | 589 | 680 |
| CREDIT LIQUIDATING PROGRAMS Subtotal..... | 25,947 | 23,130 | 21,740 |
| INTERNATIONAL MONETARY PROGRAMS | | | |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Department of the Treasury | | | |
| Exchange stabilization fund | 23,358 | 24,736 | 26,041 |
| International Assistance Programs | | | |
| Economic support fund | 242 | --- | --- |
| United States quota, International Monetary Fund | 14,054 | 14,054 | 14,054 |
| Loans to International Monetary Fund | 6,260 | 6,260 | 6,260 |
| Central American reconciliation assistance | 1 | --- | --- |
| INTERNATIONAL MONETARY PROGRAMS Subtotal..... | 43,915 | 45,050 | 46,355 |
| INSURANCE AND OTHER PROGRAMS | | | |
| Department of Agriculture | | | |
| Federal crop insurance corporation fund | 1,855 | 1,003 | 1,003 |
| Rural telephone bank program account | 2 | 2 | 2 |
| Special supplemental nutrition program for women, infants, and c | --- | --- | 20 |
| Department of Defense--Military | | | |
| Disaster relief | 2 | 2 | 2 |
| Former Soviet Union threat reduction account | 337 | 471 | 641 |
| Reserve mobilization income insurance fund | 7 | --- | --- |
| Department of Labor | | | |
| Pension Benefit Guaranty Corporation fund | 7,469 | 8,738 | 9,985 |
| Department of State | | | |
| Fishermen's guaranty fund | 3 | 3 | 3 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Department of the Treasury | | | |
| Energy security reserve | 304 | 304 | --- |
| Check forgery insurance fund | 10 | --- | --- |
| Department of Energy | | | |
| Geothermal resources development fund | 1 | --- | --- |
| Alternative fuels production | 3 | 3 | 3 |
| Department of Transportation | | | |
| Aviation insurance revolving fund | 69 | 73 | 77 |
| War risk insurance revolving fund | 28 | 29 | 31 |
| Department of Housing and Urban Development | | | |
| Low-rent public housing--loans and other expenses | 3 | 3 | 3 |
| Small Business Administration | | | |
| Surety bond guarantees revolving fund | 2 | 4 | 9 |
| Department of Veterans Affairs | | | |
| Veterans insurance and indemnities | 2 | --- | --- |
| Servicemembers' group life insurance fund | 1 | 1 | 1 |
| Veterans reopened insurance fund | 484 | 466 | 446 |
| Service-disabled veterans insurance fund | 6 | 8 | 5 |
| International Assistance Programs | | | |
| Contribution to the Inter-American Development Bank | 3,798 | 3,798 | 3,798 |
| Contribution to the Asian Development Bank | 748 | 748 | 748 |
| Contribution to the International Bank for Reconstruction and De | 7,663 | 7,663 | 7,663 |
| Overseas Private Investment Corporation noncredit account | 21 | 22 | 23 |
| Farm Credit System Insurance Corporation | | | |
| Farm credit system insurance fund | 1,273 | 1,361 | 1,441 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Federal Deposit Insurance Corporation | | | |
| Bank insurance fund | 25,845 | 27,223 | 27,808 |
| Savings Association Insurance | | | |
| Savings association insurance fund | 9,195 | 9,521 | 9,842 |
| FSLIC Resolution | | | |
| FSLIC resolution fund | 2,548 | 3,370 | 6,401 |
| Federal Emergency Management Agency | | | |
| Disaster relief | 3,868 | 1,026 | --- |
| National Credit Union Administration | | | |
| Credit union share insurance fund | 3,581 | 3,774 | 3,981 |
| Resolution Trust Corporation | | | |
| RTC revolving fund | 13,763 | --- | --- |
| Securities and Exchange Commission | | | |
| Investment in Securities Investor Protection Corporation | 1,000 | 1,000 | 1,000 |
| INSURANCE AND OTHER PROGRAMS Subtotal..... | 83,891 | 70,616 | 74,936 |
| | | | |
| TOTAL, CRITICAL RESERVES..... | <u>153,753</u> | <u>138,796</u> | <u>143,031</u> |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| II. CAPITAL | | | |
| PROCUREMENT AND CONSTRUCTION PROGRAMS | | | |
| Legislative Branch | | | |
| Capitol buildings, salaries, and expenses | 6 | 3 | 10 |
| Capitol grounds | --- | --- | 16 |
| Senate office buildings | 2 | 9 | 7 |
| House office buildings | 5 | 4 | 6 |
| Capitol power plant | 1 | 1 | 3 |
| Library buildings and grounds, structural and mechanical care | 7 | 3 | 2 |
| Judiciary office building development and operations fund | 503 | 503 | 503 |
| Judicial Branch | | | |
| Care of the buildings and grounds | --- | --- | 1 |
| Judiciary information technology fund | 78 | 16 | 5 |
| Department of Agriculture | | | |
| Buildings and facilities - Agricultural Research Service | 69 | 85 | 61 |
| Buildings and facilities - APHIS | 27 | 11 | 8 |
| Reconstruction and construction - Forest Service | 142 | 144 | 125 |
| Range betterment fund | 1 | 1 | --- |
| Land acquisition accounts | 19 | 50 | 43 |
| Department of Commerce | | | |
| Construction of research facilities | 9 | --- | --- |
| Information infrastructure grants | 3 | --- | --- |
| Department of Defense--Military | | | |
| Procurement, Defense-wide | 667 | 1,092 | 1,153 |
| National guard and reserve equipment | 656 | 479 | 130 |
| Defense production act purchases | 24 | 10 | 2 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Chemical agents and munitions destruction, Army | 175 | 77 | 92 |
| Procurement, Marine Corps | 139 | 129 | 175 |
| Aircraft procurement, Navy | 1,325 | 1,473 | 2,085 |
| Weapons procurement, Navy | 319 | 275 | 378 |
| Procurement of ammunition, Navy and Marine Corps | 46 | 123 | 149 |
| Shipbuilding and conversion, Navy | 6,390 | 6,548 | 6,155 |
| Other procurement, Navy | 448 | 664 | 948 |
| Aircraft procurement, Army | 250 | 339 | 335 |
| Missile procurement, Army | 250 | 264 | 445 |
| Procurement of weapons and tracked combat vehicles, Army | 309 | 534 | 516 |
| Procurement of ammunition, Army | 249 | 247 | 270 |
| Other procurement, Army | 389 | 361 | 531 |
| Aircraft procurement, Air Force | 1,776 | 2,793 | 3,676 |
| Procurement of ammunition, Air Force | 83 | 105 | 112 |
| Missile procurement, Air Force | 449 | 573 | 665 |
| Other procurement, Air Force | 408 | 1,261 | 1,722 |
| Base realignment and closure account | 428 | 198 | 85 |
| Military construction, Defense-wide | 452 | 433 | 447 |
| Foreign currency fluctuations, construction | 64 | 64 | 64 |
| North Atlantic Treaty Organization Security Investment Program | 10 | 10 | 10 |
| Military construction, Navy | 307 | 386 | 430 |
| Military construction, Naval Reserve | 20 | 15 | 12 |
| Military construction, Army | 749 | 813 | 742 |
| Military construction, Army National Guard | 112 | 101 | 85 |
| Military construction, Army Reserve | 37 | 40 | 37 |
| Military construction, Air Force | 199 | 612 | 574 |
| Military construction, Air Force Reserve | 21 | 9 | 5 |
| Military construction, Air National Guard | 87 | 145 | 96 |
| Family housing, Army | 60 | 78 | 53 |
| Family housing, Navy and Marine Corps | 317 | 283 | 273 |
| Family housing, Air Force | 106 | 212 | 219 |
| Family housing, Defense-wide | 4 | 6 | 6 |
| Army conventional ammunition working capital fund | 289 | 246 | --- |
| National defense stockpile transaction fund | 786 | 953 | 623 |
| Department of Health and Human Services | | | |
| National Institutes of Health | 197 | 179 | 161 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Department of the Interior | | | |
| Bureau of Land Management:Construction | 11 | 6 | 3 |
| Land acquisition - BLM | 19 | 12 | 9 |
| Range improvements | 3 | 2 | 2 |
| Abandoned mine reclamation fund | 46 | 33 | 27 |
| United States Fish and Wildlife Service:Construction | 156 | 91 | 44 |
| Land acquisition - Fish and Wildlife Service | 49 | 22 | 17 |
| Migratory bird conservation account | 8 | 8 | 8 |
| North American wetlands conservation fund | 3 | 3 | 3 |
| Urban park and recreation fund | 1 | --- | --- |
| National Park Service:Construction | 218 | 98 | 93 |
| Land acquisition and State assistance | 41 | 39 | 39 |
| Bureau of Indian Affairs:Construction | 96 | 80 | 63 |
| Everglades watershed protection | 165 | --- | --- |
| Priority Federal Land Aquisitions and Exchanges | --- | 304 | 190 |
| Trust Territory of the Pacific Islands | 1 | --- | --- |
| Compact of free association - Insular Affairs | 139 | 42 | 10 |
| Department of Justice | | | |
| Federal Bureau of Investigation:Salaries and expenses | 148 | 43 | 47 |
| Federal Bureau of Investigation:Construction | 120 | 27 | 35 |
| Immigration and Naturalization Service:Construction | 13 | --- | --- |
| Buildings and facilities | 721 | 468 | 537 |
| Department of State | | | |
| Security and maintenance of United States missions | 260 | 306 | 368 |
| Security and maintenance of United States missions (special fore | 1 | --- | --- |
| Construction, International Boundary & Water Commission | 18 | 5 | 2 |
| Department of the Treasury | | | |
| Treasury buildings and annex repair and restoration | 26 | 13 | --- |
| Acquisitions, construction, improvements, and related expenses | 26 | 27 | 5 |
| Laboratory facilities and headquarters | 7 | 7 | 14 |
| Customs facilities, construction, improvements and related expen | 6 | --- | --- |
| Bureau of Engraving and Printing fund | 63 | 76 | 66 |
| Information systems | 86 | --- | --- |
| Acquisition, construction, improvements and related expenses | 43 | --- | --- |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Department of Education | | | |
| Headquarters Renovation | 1 | --- | --- |
| Department of Energy | | | |
| Defense nuclear waste disposal | 85 | 85 | 85 |
| Strategic petroleum reserve | 41 | 40 | 40 |
| Uranium supply and enrichment activities | 1 | --- | --- |
| Clean coal technology | 732 | 411 | 67 |
| Isotope production and distribution program fund | 5 | 5 | 5 |
| Nuclear waste disposal fund | 22 | --- | --- |
| Departmental administration | 13 | --- | --- |
| Environmental Protection Agency | | | |
| Buildings and facilities | 24 | --- | --- |
| Department of Transportation | | | |
| Acquisition, construction, and improvements | 196 | 188 | 178 |
| Alteration of bridges | 1 | --- | --- |
| Miscellaneous appropriations | 225 | 153 | 81 |
| Rhode Island rail development | 8 | --- | --- |
| Northeast corridor improvement program | 4 | --- | --- |
| Interstate transfer grants-transit | 21 | --- | --- |
| Washington metropolitan area transit authority | 1 | --- | --- |
| Formula grants | 710 | 355 | --- |
| Ship construction | 11 | 11 | 11 |
| Maritime guaranteed loan (Title XI) program account | 62 | 10 | --- |
| General Services Administration | | | |
| Pennsylvania Avenue activities | 16 | 7 | --- |
| Federal buildings fund | 2,713 | 1,776 | 1,421 |
| General supply fund | 367 | 330 | 305 |
| Department of Housing and Urban Development | | | |
| Revolving fund (liquidating programs) | 103 | 89 | 75 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| National Aeronautics and Space Administration | | | |
| Construction of facilities | 56 | --- | --- |
| Department of Veterans Affairs | | | |
| Medical care | 664 | 673 | 583 |
| Medical facilities revolving fund | 6 | 3 | --- |
| Construction, major projects | 588 | 485 | 357 |
| Construction, minor projects | 67 | 48 | 29 |
| Pershing hall revolving fund | 1 | 1 | 1 |
| Parking revolving fund | 30 | 21 | 14 |
| Supply fund | 83 | 83 | 83 |
| International Assistance Programs | | | |
| Advance acquisition of property--revolving fund | 1 | 1 | 1 |
| Corps of Engineers | | | |
| Flood control, Mississippi River and tributaries | 14 | 10 | --- |
| Construction, general | 669 | 1,017 | 676 |
| Washington aqueduct | 21 | --- | --- |
| Formerly utilized sites remedial action program | --- | 30 | 30 |
| National Archives and Records Administration | | | |
| Repairs and restoration | 11 | 11 | 11 |
| Office of Navajo and Hopi Indian Relocation | | | |
| Salaries and expenses | 17 | 15 | 9 |
| Smithsonian Institution | | | |
| Repair, restoration, and renovation of buildings | 1 | --- | --- |
| Construction, JFK Center for the Performing Arts | 4 | 4 | 4 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| United States Information Agency | | | |
| Radio construction | 12 | --- | --- |
| PROCUREMENT AND CONSTRUCTION PROGRAMS Subtotal..... | 29,570 | 30,534 | 29,899 |
| OTHER REVOLVING FUNDS | | | |
| Legislative Branch | | | |
| Senate revolving funds | 3 | 3 | 3 |
| House revolving funds | 7 | 7 | 7 |
| Government Printing Office revolving fund | 54 | 38 | 41 |
| Department of Agriculture | | | |
| Working capital fund, Executive Operations | 19 | 19 | 19 |
| Inspection and weighing services | 3 | 3 | 3 |
| National sheep industry improvement center revolving fund | 20 | 19 | 18 |
| Working capital fund, Forest Service | 114 | 117 | 120 |
| Department of Commerce | | | |
| Working capital fund, General Administration | 4 | 4 | 4 |
| Economics and statistics administration revolving fund | 2 | 1 | 1 |
| Damage assessment and restoration revolving fund | 3 | --- | --- |
| NTIS revolving fund | 2 | 2 | 2 |
| Working capital fund, NTIS | 39 | 39 | 39 |
| Department of Defense--Military | | | |
| Homeowners assistance fund, Defense | 97 | 47 | 5 |
| Navy management fund | 1 | 1 | 1 |
| National defense sealift fund | 460 | 460 | 460 |
| Working capital fund, Army | 1,071 | 937 | 981 |
| Buildings maintenance fund | 10 | 10 | 10 |
| Pentagon reservation maintenance revolving fund | 33 | 39 | 51 |
| Working capital fund, Navy | 1,998 | 939 | --- |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Working capital fund, Air Force | -380 | --- | 170 |
| Working capital fund, Defense wide | 439 | 680 | 911 |
| Department of Health and Human Services | | | |
| Revolving fund for certification and other services | 4 | 5 | 5 |
| HHS service and supply fund | 42 | 42 | 42 |
| Department of the Interior | | | |
| Helium fund | 35 | 31 | 29 |
| Working capital fund, BLM | 9 | 11 | 12 |
| Working capital fund, USGS | 18 | 9 | 6 |
| Special foreign currency program | 1 | 1 | 1 |
| Interior Franchise Fund | 7 | 7 | 7 |
| Working capital fund, Department Management | 13 | 13 | 13 |
| Department of Justice | | | |
| Working capital fund | 226 | 230 | 230 |
| Federal Prison Industries, Incorporated | 186 | 182 | 181 |
| Department of Labor | | | |
| Working capital fund | 10 | 12 | 15 |
| Department of State | | | |
| Working capital fund | 4 | 4 | 4 |
| Department of the Treasury | | | |
| Federal Financing Bank | 1 | 1 | 1 |
| United States Mint public enterprise fund | 14 | 14 | 14 |
| Federal tax lien revolving fund | 5 | 3 | 3 |
| Office of Thrift Supervision | 84 | 84 | 84 |
| Department of Education | | | |
| Perkins Loan Revolving Fund | 1 | 41 | 41 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Department of Energy | | | |
| Bonneville Power Administration fund | 424 | 424 | 424 |
| Colorado River Basins power marketing fund, Western Area Power A | 27 | 27 | 28 |
| Working capital fund | 3 | --- | --- |
| Environmental Protection Agency | | | |
| Reregistration and expedited processing revolving fund | 16 | -1 | --- |
| Revolving fund for certification and other services | 2 | --- | --- |
| Working capital fund | 21 | --- | --- |
| Department of Transportation | | | |
| Coast Guard supply fund | 5 | 8 | 12 |
| Coast Guard yard fund | 12 | 19 | 19 |
| Vessel operations revolving fund | 32 | --- | --- |
| General Services Administration | | | |
| Information technology fund | 867 | 405 | 57 |
| Working capital fund | 38 | 38 | 38 |
| Consumer information center fund | 1 | --- | --- |
| Department of Housing and Urban Development | | | |
| Rental housing assistance fund | 1 | 1 | 1 |
| Homeownership assistance fund | 82 | 82 | 82 |
| Flexible Subsidy Fund | 179 | 220 | 196 |
| Working capital fund | 6 | 6 | 6 |
| Office of Personnel Management | | | |
| Revolving fund | 52 | 52 | 52 |
| Department of Veterans Affairs | | | |
| Canteen service revolving fund | 16 | 18 | 20 |
| Special therapeutic and rehabilitation activities fund | 9 | 9 | 9 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Franchise fund | 3 | 3 | 3 |
| Corps of Engineers | | | |
| Revolving fund | 527 | 617 | 763 |
| Equal Employment Opportunity Commission | | | |
| EEOC Education, technical assistance and training revolving fund | 3 | 3 | 3 |
| Farm Credit Administration | | | |
| Revolving fund for administrative expenses | 9 | 3 | 3 |
| Federal Emergency Management Agency | | | |
| Working capital fund | 1 | --- | --- |
| Federal Financial Institutions Examination Council Appraisal Sub | | | |
| Registry fees | 3 | 2 | 2 |
| Federal Housing Finance Board | | | |
| Federal Housing Finance Board | 1 | 1 | 1 |
| National Credit Union Administration | | | |
| Operating fund | --- | -14 | -30 |
| Community development credit union revolving loan fund | 2 | 2 | 2 |
| Panama Canal Commission | | | |
| Panama Canal revolving fund | 8 | 10 | 9 |
| Tennessee Valley Authority | | | |
| Tennessee Valley Authority fund | 24 | 13 | 15 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| United States Enrichment Corporation Fund | | | |
| United States Enrichment Corporation Fund | 188 | --- | --- |
| OTHER REVOLVING FUNDS Subtotal..... | 7,221 | 6,003 | 5,249 |
| | | | |
| TOTAL, CAPITAL..... | <u>36,791</u> | <u>36,537</u> | <u>35,148</u> |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| III. OTHER PROGRAMS | | | |
| SUBSIDIZED HOUSING PROGRAMS | | | |
| Department of Agriculture | | | |
| Rental assistance program | 4 | --- | --- |
| Rural housing assistance grants | 2 | 1 | 1 |
| Rural housing insurance fund program account | 5 | --- | --- |
| Department of Housing and Urban Development | | | |
| Annual contributions for assisted housing | 4,658 | --- | --- |
| Drug elimination grants for low-income housing | 281 | --- | --- |
| Revitalization of severely distressed public housing (HOPE VI) | 698 | 644 | 644 |
| Preserving existing housing investment | 1,445 | --- | --- |
| Section 8 reserve preservation account | 4,202 | 3,652 | --- |
| Housing certificate fund | 3,486 | --- | --- |
| Title VI Indian Federal guarantees program account | --- | 1 | --- |
| Community development block grants | 777 | --- | --- |
| Supplemental assistance for facilities to assist the homeless | 1 | --- | --- |
| Supportive housing program | 19 | --- | --- |
| Homeless assistance grants | 955 | --- | --- |
| Shelter plus care | 10 | --- | --- |
| Home investment partnership program | 210 | --- | --- |
| Capacity building for community development and affordable housi | 30 | --- | --- |
| Housing opportunities for persons with AIDS | 36 | --- | --- |
| Section 8 moderate rehabilitation, single room occupancy | 86 | --- | --- |
| Homeownership and opportunity for people everywhere grants(HOPE) | 11 | --- | --- |
| Other assisted housing programs | 978 | 1,259 | 1,483 |
| Development of additional new subsidized housing | 849 | --- | --- |
| Nehemiah housing opportunity fund | 21 | --- | --- |
| SUBSIDIZED HOUSING PROGRAMS Subtotal..... | 18,764 | 5,557 | 2,128 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| FORWARD FUNDED, ADVANCE APPROPRIATIONS, AND ADVANCE FUNDED PROGRAMS | | | |
| Department of the Interior | | | |
| Operation of Indian programs | 254 | 254 | 261 |
| Department of Labor | | | |
| Training and employment services | 1,109 | 1,199 | 1,168 |
| State unemployment insurance and employment service operations | 171 | 100 | 97 |
| Social Security Administration | | | |
| Supplemental Security Income program | 1,615 | --- | --- |
| Special benefits for disabled coal miners | 12 | 9 | --- |
| Department of Education | | | |
| Education Reform | 194 | --- | --- |
| Education for the disadvantaged | 10 | --- | --- |
| School improvement programs | 3 | --- | --- |
| Special education | 203 | --- | --- |
| Vocational and adult education | 44 | --- | --- |
| Student financial assistance | 2,150 | 4,941 | 5,036 |
| FORWARD FUNDED, ADVANCE APPNS, AND ADV FUNDED PROGRAMS Subtotal.. | 5,765 | 6,503 | 6,562 |
| RESEARCH AND DEVELOPMENT PROGRAMS | | | |
| Department of Agriculture | | | |
| Research and education activities | 17 | --- | --- |
| Buildings and facilities | 5 | --- | --- |
| Scientific activities overseas (foreign currency program) | 1 | 1 | 1 |
| Forest and rangeland research | 17 | 20 | 12 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Department of Commerce | | | |
| Operations, research, and facilities | 281 | --- | --- |
| Promote and develop fishery products and research pertaining to Scientific and technical research and services | 2 5 | --- | --- |
| Department of Defense--Military | | | |
| Research, development, test, and evaluation, Defense-wide | 1,113 | 1,285 | 1,466 |
| Developmental test and evaluation, Defense | 18 | 27 | 26 |
| Operational test and evaluation, Defense | 1 | 3 | 3 |
| Research, development, test, and evaluation, Navy | 527 | 479 | 493 |
| Research, development, test, and evaluation, Army | 589 | 323 | 308 |
| Research, development, test, and evaluation, Air Force | 1,254 | 1,628 | 1,590 |
| Department of the Interior | | | |
| Surveys, investigations and research | 17 | 5 | 5 |
| Mines and minerals | 5 | --- | --- |
| Department of the Treasury | | | |
| Office of Inspector General | 1 | 1 | 1 |
| Biomass energy development | 45 | 46 | 46 |
| Department of Energy | | | |
| Weapons activities | 602 | 544 | 544 |
| Other Defense Activities | 36 | --- | --- |
| Fossil energy research and development | 21 | --- | --- |
| Energy conservation | 8 | --- | --- |
| Science | 41 | 8 | --- |
| Energy supply | 251 | 138 | 121 |
| Environmental Protection Agency | | | |
| Science and technology | 124 | --- | --- |
| Department of Transportation | | | |
| Research, development, test, and evaluation | 3 | --- | --- |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Operations and research | 12 | --- | --- |
| Next generation high-speed rail | 1 | --- | --- |
| Railroad research and development | 6 | --- | --- |
| Transit planning and research | 13 | --- | --- |
| Research and special programs | 4 | --- | --- |
| Working capital fund, Volpe National Transportation Systems Cent | 127 | 130 | 135 |
| Department of Housing and Urban Development | | | |
| Research and technology | 3 | --- | --- |
| National Aeronautics and Space Administration | | | |
| Science, Aeronautics and Technology | 508 | 283 | 272 |
| Mission support | 160 | 100 | 117 |
| Department of Veterans Affairs | | | |
| Medical and prosthetic research | 28 | 1 | 1 |
| Medical center research organizations | 18 | 18 | 18 |
| National Science Foundation | | | |
| Research and related activities | 2 | --- | --- |
| Major research equipment | 4 | --- | --- |
| Smithsonian Institution | | | |
| Museum programs and related research (special foreign currency p | --- | 1 | 1 |
| RESEARCH AND DEVELOPMENT PROGRAMS Subtotal..... | 5,870 | 5,041 | 5,160 |
| OTHER MISCELLANEOUS PROGRAMS | | | |
| Legislative Branch | | | |
| Congressional use of foreign currency, Senate | 4 | 4 | 4 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| House of Representatives:Salaries and expenses | 78 | 95 | 99 |
| General expenses, Capitol police | 5 | 3 | --- |
| Botanic Garden:Salaries and expenses | 34 | 1 | 1 |
| Library of Congress:Salaries and expenses | 5 | 1 | 1 |
| Furniture and furnishings | 4 | 1 | --- |
| Payments to copyright owners | 727 | 554 | 568 |
| General Accounting Office:Salaries and expenses | 11 | 4 | 6 |
| Gambling Impact Study Commission | 4 | 2 | --- |
| Commission on the advancement of Federal law enforcement | 2 | --- | --- |
| Other Legislative Branch Boards and Commissions | 2 | 1 | 1 |
| Judicial Branch | | | |
| Courts of Appeals, District Courts, and other Judicial Services: | 50 | 38 | 21 |
| Fees of jurors and commissioners | 4 | --- | --- |
| Judiciary filing fees | 194 | 47 | --- |
| National Fine Center | 1 | --- | --- |
| Department of Agriculture | | | |
| Fund for rural America | 34 | --- | --- |
| Office of the Secretary | 3 | 1 | --- |
| Agriculture buildings and facilities and rental payments | 29 | --- | --- |
| Hazardous waste management | 4 | --- | --- |
| Extension activities | 6 | --- | --- |
| Animal and Plant Health Inspection Service:Salaries and expenses | 31 | 17 | 8 |
| Food Safety and Inspection Service:Salaries and expenses | 1 | --- | --- |
| Marketing services | 35 | 35 | 35 |
| Perishable Agricultural Commodities Act fund | 6 | 8 | 7 |
| Funds for strengthening markets, income, and supply (section 32) | 234 | 267 | 300 |
| Agricultural credit insurance fund program account | 13 | 13 | 13 |
| Commodity Credit Corporation export loans program account | 268 | 61 | 52 |
| Agricultural conservation program | 23 | 23 | 23 |
| Emergency conservation program | 79 | --- | --- |
| Conservation reserve program | 86 | 48 | 24 |
| Conservation operations | 9 | --- | --- |
| Watershed and flood prevention operations | 189 | --- | --- |
| Wetlands reserve program | 25 | 4 | --- |
| Great plains conservation program | 1 | --- | --- |
| Rural clean water program | 3 | 3 | 3 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Rural community advancement program | 5 | --- | --- |
| Distance learning and telemedicine program | 9 | --- | --- |
| Rural economic development grants | 21 | 14 | 7 |
| P.L. 480 Title I ocean freight differential grants | 13 | 13 | 13 |
| P.L. 480 Title I Food for Progress Credits, program account | 4 | --- | --- |
| P.L. 480 program account | 63 | 69 | 69 |
| P.L. 480 Grants - Titles II and III | 30 | 68 | 68 |
| Foreign agricultural service and general sales manager | 2 | 2 | 2 |
| Commodity assistance program | 1 | --- | --- |
| Child nutrition programs | 607 | 2 | 2 |
| State and private forestry | 21 | 21 | 14 |
| National forest system | 140 | 137 | 141 |
| Southeast Alaska economic disaster fund | 40 | 27 | 14 |
| Wildland fire management | 128 | 99 | 66 |
| Forest Service permanent appropriations | 265 | 322 | 331 |
| Department of Commerce | | | |
| General Administration:Salaries and expenses | 2 | --- | --- |
| Franchise fund | 1 | --- | --- |
| Economic Development Administration:Salaries and expenses | 4 | --- | --- |
| Economic development assistance programs | 60 | --- | --- |
| Periodic censuses and programs | 1 | --- | --- |
| Economic and Statistical Analysis:Salaries and expenses | 1 | --- | --- |
| Operations and administration, International Trade Admin. | 26 | --- | --- |
| Operations and administration, Export Administration | 3 | --- | --- |
| Minority business development | 3 | --- | --- |
| United States Travel and Tourism Administration:Salaries and exp | 4 | --- | --- |
| Fishermen's contingency fund | 2 | 1 | 1 |
| Patent and Trademark Office:Salaries and expenses | 21 | --- | --- |
| Industrial technology services | 16 | 9 | --- |
| National Telecommunications and Information Administration:Salari | 1 | --- | --- |
| Public telecommunications facilities and digital broadcast appli | 3 | --- | --- |
| Department of Defense--Military | | | |
| Defense health program | 167 | 140 | 180 |
| Foreign currency fluctuations, Defense | 304 | 304 | 304 |
| Overseas humanitarian, disaster and civil aid | 10 | 6 | 6 |
| Emergency response fund | 37 | --- | --- |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Support for international sporting competitions, Defense | 14 | 10 | 7 |
| Quality of Life Enhancements, Defense | 95 | --- | --- |
| OPLAN 34A-35 P.O.W. Payments | 20 | --- | --- |
| Payment to Kaho'olawe | 22 | 22 | 22 |
| Operation and maintenance, Army | 2 | 1 | 1 |
| Overseas military facility investment recovery | 7 | 3 | 4 |
| Miscellaneous Special Funds | 157 | 146 | 139 |
| Defense export loan guarantee program account | --- | --- | 1 |
| Department of Defense, Family Housing Improvement Fund | 28 | --- | --- |
| Department of Defense, Military Unaccompanied Housing Improvemen | 5 | --- | --- |
| Rossmoor liquidating trust settlement account | 3 | --- | --- |
| Department of Health and Human Services | | | |
| Food and Drug Administration:Salaries and expenses | 68 | 42 | 42 |
| Vaccine injury compensation | 145 | 70 | 51 |
| Indian Health Services | 98 | 98 | 98 |
| Indian health facilities | 11 | 11 | 11 |
| Disease control, research, and training | 52 | --- | --- |
| Program management | 18 | 18 | 17 |
| Grants to States for Medicaid | 6,890 | 5,522 | --- |
| Family support payments to states | 2,046 | 207 | --- |
| Refugee and entrant assistance | 2 | 2 | 2 |
| Children and families services programs | 3 | 3 | 3 |
| Children's research and technical assistance | 12 | --- | --- |
| General departmental management | 25 | 10 | 8 |
| Office of the Inspector General | 1 | 1 | 1 |
| Department of the Interior | | | |
| Management of lands and resources | 49 | 12 | 30 |
| Oregon and California grant lands | 23 | 5 | 4 |
| Central hazardous materials fund | 3 | 2 | 1 |
| Wildland fire management | 61 | 36 | 19 |
| Service charges, deposits, and forfeitures | 8 | 7 | 7 |
| Miscellaneous permanent payment accounts | 2 | 2 | 2 |
| Permanent operating funds | 8 | 12 | 16 |
| Royalty and Offshore minerals | 3 | 5 | 5 |
| Regulation and technology | 1 | 4 | 5 |
| Water and Related Resources | 68 | --- | 1 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Bureau of Reclamation loan program account | 5 | --- | --- |
| Lower Colorado River Basin development fund | 154 | 22 | 22 |
| Upper Colorado River Basin fund | 14 | 10 | 4 |
| Working capital fund | 70 | 67 | 40 |
| Policy and Administration | 2 | --- | --- |
| Central Valley Project Restoration fund | 26 | --- | --- |
| Colorado River dam fund, Boulder Canyon project | 9 | 1 | 1 |
| Central Utah Project completion account | 1 | --- | --- |
| Utah reclamation mitigation and conservation account | 10 | --- | --- |
| Resource management | 17 | 14 | 14 |
| Natural resource damage assessment fund | 81 | 79 | 65 |
| Multi-national species conservation fund | 1 | 1 | 1 |
| Federal aid in wildlife restoration | 53 | 39 | 36 |
| National wildlife refuge fund | 7 | 7 | 7 |
| Cooperative endangered species conservation fund | 6 | 1 | 1 |
| Wildlife conservation and appreciation fund | 1 | --- | --- |
| Miscellaneous permanent appropriations | 4 | 4 | 4 |
| Operation of the National Park system | 14 | --- | --- |
| Operation and maintenance of quarters | 9 | 9 | 9 |
| National Park renewal fund | 39 | 39 | 39 |
| Historic preservation fund | 1 | 1 | 1 |
| Concessions improvement accounts | 40 | 40 | 40 |
| Miscellaneous permanent appropriations | 1 | 1 | 1 |
| Indian land and water claim settlements and miscellaneous paymen | 8 | --- | --- |
| Operation and maintenance of quarters | 2 | 2 | 2 |
| Miscellaneous permanent appropriations | 60 | 61 | 62 |
| Departmental Management:Salaries and expenses | 1 | 1 | 1 |
| Assistance to territories | 8 | 8 | 8 |
| Office of the Special Trustee for American Indians | 13 | 5 | --- |
| Miscellaneous permanent appropriations | 295 | 317 | 330 |
| National Indian Gaming Commission:Salaries and expenses | 2 | --- | --- |
| Department of Justice | | | |
| General Administration:Salaries and expenses | 5 | 5 | 5 |
| Counterterrorism fund | 31 | 10 | 10 |
| Telecommunications carrier compliance fund | 103 | --- | --- |
| Administrative review and appeals | 5 | 5 | 5 |
| Salaries and expenses, General Legal Activities | 8 | 3 | 3 |
| Fees and expenses of witnesses | 34 | 7 | --- |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Salaries and expenses, Antitrust Division | 5 | 5 | 5 |
| Salaries and expenses, United States Attorneys | 8 | --- | --- |
| Salaries and expenses, United States Marshals Service | 3 | --- | --- |
| Civil liberties public education fund | 39 | --- | --- |
| Federal prisoner detention | 50 | --- | --- |
| Assets forfeiture fund | 216 | 213 | 213 |
| United States trustee system fund | 4 | --- | --- |
| Drug Enforcement Administration:Salaries and expenses | 16 | 36 | 36 |
| Diversion control fee account | 52 | 52 | 52 |
| Immigration and Naturalization Service:Salaries and expenses | 18 | --- | --- |
| Immigration emergency fund | 10 | 10 | 10 |
| Federal Prison System:Salaries and expenses | 105 | 40 | --- |
| Weed and seed program fund | 11 | --- | --- |
| Justice assistance | 26 | --- | --- |
| Public safety officers' benefits | 17 | 6 | 5 |
| State and local law enforcement assistance | 6 | --- | --- |
| Juvenile crime control and prevention programs | 32 | --- | --- |
| Crime victims fund | 74 | 50 | 50 |
| Violent crime reduction programs | 580 | --- | --- |
| Community oriented policing services | 373 | --- | --- |
| Department of Labor | | | |
| Welfare to work jobs | --- | --- | 100 |
| Payments to the unemployment trust fund | 5 | 5 | 5 |
| Pension and welfare benefit administration:Salaries and expenses | 6 | --- | --- |
| Employment Standards Administration:Special benefits | 918 | 1,045 | 1,149 |
| Panama Canal Commission compensation fund | 75 | 81 | 86 |
| Bureau of Labor Statistics:Salaries and expenses | 1 | --- | --- |
| Department of State | | | |
| Administration of Foreign Affairs:Salaries and expenses | 1 | --- | --- |
| Diplomatic and consular programs | 111 | 33 | 31 |
| Capital investment fund | 5 | --- | --- |
| Protection of foreign missions and officials | 1 | 1 | 1 |
| Emergencies in the diplomatic and consular service | 6 | 5 | 4 |
| Buying power maintenance | 12 | 12 | 12 |
| International conferences and contingencies | 2 | --- | --- |
| United States emergency refugee and migration assistance fund | 70 | 60 | 40 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Migration and refugee assistance | 1 | --- | --- |
| Fishermen's protective fund | 1 | 1 | 1 |
| International Center, Washington, D.C. | 2 | 2 | 2 |
| International litigation fund | 2 | 2 | 1 |
| Department of the Treasury | | | |
| Departmental Offices:Salaries and expenses | 19 | 5 | 5 |
| Automation Enhancement | 3 | 3 | 3 |
| Community development financial institutions fund program accoun | 35 | 25 | 55 |
| Treasury franchise fund | 6 | 7 | 6 |
| Presidential election campaign fund | 68 | 135 | 173 |
| Sallie Mae assessments | 1 | --- | --- |
| Department of the Treasury forfeiture fund | 204 | 204 | 204 |
| Federal Law Enforcement Training Center:Salaries and expenses | 5 | 2 | --- |
| Financial Management Service:Salaries and expenses | 8 | --- | --- |
| Bureau of Alcohol, Tobacco and Firearms:Salaries and expenses | 26 | 26 | 26 |
| United States Customs Service:Salaries and expenses | 832 | 769 | 811 |
| Operation and maintenance, air and marine interdiction programs | 52 | 9 | --- |
| Customs services at small airports | 1 | 1 | 1 |
| Miscellaneous permanent appropriations | 3 | -1 | -5 |
| Administering the public debt | 11 | --- | --- |
| Processing, assistance, and management | 15 | --- | --- |
| Tax law enforcement | 32 | --- | --- |
| United States Secret Service:Salaries and expenses | 6 | --- | --- |
| Social Security Administration | | | |
| Payments to Social Security trust funds | 17 | 18 | 18 |
| Department of Education | | | |
| Impact aid | 94 | 51 | 33 |
| Chicago litigation settlement | 7 | 5 | 3 |
| Higher education | 4 | --- | --- |
| Federal direct student loan program, program account | 2 | 2 | 2 |
| Department of Energy | | | |
| Defense environmental restoration and waste management | 288 | 190 | --- |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Defense environmental management privatization | --- | --- | 124 |
| Federal Energy Regulatory Commission | 3 | --- | --- |
| Energy information administration | 3 | --- | --- |
| Naval petroleum and oil shale reserves | 440 | 8 | 3 |
| SPR petroleum account | 33 | 28 | 23 |
| Payments to States under Federal Power Act | 3 | 3 | 3 |
| Operation and maintenance, Southeastern Power Administration | 6 | 2 | --- |
| Operation and maintenance, Southwestern Power Administration | 1 | --- | --- |
| Operation and maintenance, Alaska Power Administration | 8 | 7 | 5 |
| Construction, rehabilitation, operation and maintenance, Western | 45 | 9 | 1 |
| Environmental Protection Agency | | | |
| State and Tribal assistance Grants | 1,351 | --- | --- |
| Environmental programs and management | 189 | --- | --- |
| Exxon Valdez settlement fund | 1 | --- | --- |
| Department of Transportation | | | |
| Office of the Secretary:Salaries and expenses | 1 | --- | --- |
| Minority business outreach | 1 | --- | --- |
| Transportation planning, research, and development | 1 | --- | --- |
| Transportation administrative service center | 8 | 8 | 8 |
| Operating expenses | 1 | --- | --- |
| Environmental compliance and restoration | 3 | --- | --- |
| National Civil Aviation Review Commission | 1 | --- | --- |
| Administrative services franchise fund | 2 | 2 | 2 |
| Miscellaneous expired accounts | 1 | --- | --- |
| State infrastructure banks | 6 | --- | --- |
| Office of the Administrator | 4 | --- | --- |
| Grants to National Railroad Passenger Corporation | 134 | --- | --- |
| Railroad rehabilitation and improvement program account | 1 | 1 | 1 |
| Miscellaneous expired accounts | 3 | --- | --- |
| Saint Lawrence Seaway Development Corporation | 13 | 13 | 14 |
| Pipeline safety | 2 | --- | --- |
| Emergency preparedness grants | 3 | --- | --- |
| Surface Transportation Board:Salaries and expenses | 1 | 1 | 1 |
| Ready reserve force | 8 | --- | --- |
| Maritime security program | 50 | --- | --- |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| General Services Administration | | | |
| Real property relocation | 12 | --- | --- |
| Department of Housing and Urban Development | | | |
| Public housing operating fund | --- | 113 | --- |
| Indian housing loan guarantee fund program account | 1 | --- | --- |
| FHA-General and special risk program account | 498 | 352 | 299 |
| Manufactured home inspection and monitoring | 9 | 10 | 11 |
| Fair housing activities | 8 | --- | --- |
| National Aeronautics and Space Administration | | | |
| Human space flight | 226 | 149 | 149 |
| Office of Personnel Management | | | |
| Office of Personnel Management:Salaries and expenses | 6 | --- | --- |
| Government payment for annuitants, employees health benefits | 94 | --- | --- |
| Government payment for annuitants, employee life insurance benef | 1 | --- | --- |
| Small Business Administration | | | |
| Small Business Administration:Salaries and expenses | 1 | 1 | --- |
| Office of Inspector General | 1 | --- | --- |
| Disaster loans program account | 190 | 56 | 23 |
| Business loan program account | 57 | 13 | --- |
| Department of Veterans Affairs | | | |
| Medical care cost recovery fund | 9 | --- | --- |
| Readjustment benefits | 112 | 157 | --- |
| Miscellaneous Veterans Programs loan fund program account | 3 | 3 | 2 |
| Compensation | 146 | --- | --- |
| Grants for construction of State extended care facilities | 10 | --- | --- |
| Executive Office of the President | | | |
| White House repair and restoration | 1 | --- | --- |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Salaries and expenses Armstrong resolution account | --- | --- | 1 |
| | 5 | --- | --- |
| Federal Drug Control Programs | | | |
| Special forfeiture fund | 2 | 2 | 2 |
| International Assistance Programs | | | |
| Nonproliferation and Disarmament Fund | 5 | --- | --- |
| Non-proliferation, anti-terrorism, demining, and related program | 1 | 1 | 1 |
| Assistance for relocation of facilities in Israel | 2 | --- | --- |
| Debt restructuring | 31 | 32 | 39 |
| Micro and small enterprise development program account | 2 | --- | --- |
| Urban and environmental credit program account | 9 | 7 | 5 |
| Assistance for the New Independent States of the Former Soviet U | 1 | --- | --- |
| Operating expenses of the Agency for International Development | 32 | 16 | 11 |
| Operating expenses of AID, Office of Inspector General | 10 | 4 | 1 |
| Assistance for Eastern Europe and the Baltic States | 169 | --- | --- |
| Development fund for Africa | 51 | --- | --- |
| Sustainable development assistance program | 271 | --- | --- |
| International disaster assistance | 37 | --- | --- |
| Sub-Saharan Africa disaster assistance | 3 | 3 | 3 |
| Assistance for the new independent States of the Former Soviet U | 223 | 3 | 3 |
| Child survival and disease programs | 37 | --- | --- |
| Development credit authority program account | --- | 5 | 2 |
| Property management fund | 5 | 3 | --- |
| Overseas Private Investment Corporation program account | 87 | 63 | 63 |
| Trade and Development Agency | 14 | --- | --- |
| Peace Corps | 7 | 2 | 2 |
| Inter-American Foundation | 18 | 18 | 18 |
| African Development Foundation | 4 | --- | --- |
| Special defense acquisition fund | 134 | 135 | 136 |
| Other Defense Civil Programs | | | |
| Salaries and expenses | 1 | 1 | --- |
| Wildlife conservation | 3 | 3 | 3 |
| Forest products program | 2 | 2 | 2 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Corps of Engineers | | | |
| General investigations | 24 | 24 | 24 |
| Operation and maintenance, general | 77 | 77 | --- |
| General expenses | 11 | 3 | --- |
| Flood control and coastal emergencies | 512 | 336 | 251 |
| Regulatory program | 3 | 3 | 3 |
| Permanent appropriations | 17 | 17 | 17 |
| Appalachian Regional Commission | | | |
| Appalachian Regional Commission | 84 | --- | --- |
| Arms Control and Disarmament Agency | | | |
| Arms control and disarmament activities | 1 | --- | --- |
| Defense Nuclear Facilities Safety Board | | | |
| Salaries and expenses | 2 | 1 | --- |
| Export-Import Bank of the United States | | | |
| Export-Import Bank loans program account | 332 | 240 | 190 |
| Federal Communications Commission | | | |
| Salaries and expenses | 10 | --- | --- |
| Universal service fund | 30 | --- | --- |
| Federal Emergency Management Agency | | | |
| Salaries and expenses | 6 | 2 | --- |
| Federal Mediation and Conciliation Service | | | |
| Salaries and expenses | 1 | --- | --- |
| Federal Trade Commission | | | |
| Salaries and expenses | 1 | 1 | 1 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|--|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| International Trade Commission | | | |
| Salaries and expenses | 1 | --- | --- |
| National Archives and Records Administration | | | |
| Operating expenses | 13 | 12 | 12 |
| National Endowment for the Arts | | | |
| National Endowment for the Arts: Grants and administration | 6 | 3 | 3 |
| National Endowment for the Humanities | | | |
| National Endowment for the Humanities: Grants and administration | 1 | --- | --- |
| National Transportation Safety Board | | | |
| Salaries and expenses | 5 | --- | --- |
| Emergency fund | --- | 1 | 2 |
| Nuclear Regulatory Commission | | | |
| Salaries and expenses | 24 | 14 | 14 |
| Office of Inspector General | 2 | 2 | 2 |
| Securities and Exchange Commission | | | |
| Salaries and expenses | 23 | 18 | 9 |
| Smithsonian Institution | | | |
| Salaries and expenses | 7 | 7 | 7 |
| Construction and improvements, National Zoological Park | 3 | 1 | --- |
| Repair and restoration of buildings | 38 | 6 | --- |
| Construction | 10 | 29 | --- |
| Salaries and expenses, National Gallery of Art | 1 | 1 | 1 |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| State Justice Institute | | | |
| State Justice Institute: Salaries and expenses | 4 | 4 | 4 |
| United States Holocaust Memorial Council | | | |
| Holocaust Memorial Council | 2 | 2 | 2 |
| United States Information Agency | | | |
| International information programs | 7 | --- | --- |
| Broadcasting to Cuba | 4 | --- | --- |
| Educational and cultural exchange programs | 15 | --- | --- |
| Buying power maintenance | 19 | 19 | 19 |
| Technology fund | 2 | --- | --- |
| Institute of Museum and Library Services | | | |
| Office of Museum Services: Grants and administration | 1 | --- | --- |
| Office of Libraries: Grants and administration | 45 | --- | --- |
| Corporation for National and Community Service | | | |
| National and community service programs, operating expenses | 231 | --- | --- |
| JFK Assassination Records Review Board | | | |
| John F. Kennedy assassination records review board | 1 | --- | --- |
| Other Commissions and Boards | | | |
| Other commissions and boards | 2 | 1 | 1 |
| OTHER MISCELLANEOUS PROGRAMS Subtotal..... | 26,368 | 14,645 | 8,253 |
| TOTAL, OTHER PROGRAMS..... | <u>56,767</u> | <u>31,746</u> | <u>22,103</u> |

TABLE 5

FEDERAL FUND UNOBLIGATED BALANCES, BY TYPE OF PROGRAM

(In millions of dollars)

| Type of program | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| TOTAL, FEDERAL FUND UNOBLIGATED BALANCES..... | 247,311 | 207,079 | 200,282 |

/1 Sign reversed due to data entry error.

TABLE 6

FEDERAL FUND UNAVAILABLE COLLECTIONS, BY AGENCY

(In millions of dollars)

| Department or other unit | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Legislative Branch | 6 | 2 | 2 |
| Department of Agriculture | 1,558 | 1,240 | 1,095 |
| Department of Commerce | 201 | 356 | 290 |
| Department of Defense--Military | 72 | 72 | 71 |
| Department of Energy | 6,892 | 8,466 | 10,057 |
| Department of Housing and Urban Development | 2,138 | 3,222 | 9,748 |
| Department of the Interior | 17,427 | 18,579 | 18,819 |
| Department of Justice | 1,071 | 733 | 1,190 |
| Department of State | 1 | 1 | 1 |
| Department of Transportation | 19 | 18 | 17 |
| Department of the Treasury | 90 | 16 | 9 |
| Department of Veterans Affairs | 1,354 | 908 | 646 |
| Corps of Engineers | 4 | 4 | 4 |
| Other Defense Civil Programs | 5 | 5 | 5 |
| Environmental Protection Agency | 44 | 53 | 52 |
| General Services Administration | 57 | 72 | 107 |
| International Assistance Programs | 2,407 | 2,597 | 2,773 |
| Small Business Administration | 16 | 885 | 929 |
| Other Independent Agencies | <u>370</u> | <u>843</u> | <u>2,089</u> |
| TOTAL..... | 33,732 | 38,072 | 47,904 |

TRUST FUNDS

TRUST FUND BALANCES

Tables 7 and 8 (pages 49 and 50) present trust fund obligated balances, by agency and by major fund group, for fiscal years 1997, 1998, and 1999.

Tables 9 and 10 (pages 51 and 52) present trust fund unobligated balances, by agency and by major fund group, for fiscal years 1997, 1998, and 1999.

TABLE 7

TRUST FUND OBLIGATED BALANCES, BY AGENCY

(In millions of dollars)

| Department or other unit | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Legislative Branch | 5 | 7 | 7 |
| Judicial Branch | --- | 1 | 1 |
| Department of Agriculture | 36 | 46 | 42 |
| Department of Defense--Military | 613 | 634 | 671 |
| Department of Energy | 18 | 18 | 18 |
| Department of Health and Human Services | 24,156 | 23,881 | 24,279 |
| Department of the Interior | 265 | 301 | 286 |
| Department of Justice | 17 | 16 | 30 |
| Department of Labor | 29 | 93 | 54 |
| Department of State | --- | 1 | 1 |
| Department of Transportation | 40,141 | 42,773 | 47,200 |
| Department of the Treasury | 235 | 241 | 246 |
| Department of Veterans Affairs | 1,466 | 1,515 | 1,564 |
| Corps of Engineers | 115 | 118 | 95 |
| Other Defense Civil Programs | 2,627 | 2,710 | 2,778 |
| Environmental Protection Agency | 2,400 | 3,089 | 3,614 |
| International Assistance Programs | 24,039 | 23,629 | 22,400 |
| National Science Foundation | 17 | 17 | 17 |
| Office of Personnel Management | 4,031 | 4,120 | 4,199 |
| Social Security Administration | 35,917 | 35,747 | 36,598 |
| Other Independent Agencies | <u>352</u> | <u>353</u> | <u>375</u> |
| TOTAL..... | 136,479 | 139,310 | 144,475 |

TABLE 8

TRUST FUND OBLIGATED BALANCES, BY MAJOR FUNDS

(In millions of dollars)

| Description | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Foreign national employees separation pay | 290 | 290 | 290 |
| Federal supplementary medical insurance trust fund | 4,996 | 4,956 | 5,045 |
| Federal hospital insurance trust fund | 18,988 | 18,816 | 19,128 |
| Sport fish restoration | 242 | 276 | 265 |
| Federal old-age and survivors insurance trust fund | 29,515 | 29,660 | 30,603 |
| Federal disability insurance trust fund | 6,450 | 6,085 | 5,993 |
| Hazardous substance superfund | 2,321 | 3,005 | 3,526 |
| Grants-in-aid for airports (Airport and airway trust fund) | 2,388 | 2,534 | 2,598 |
| Facilities and equipment (Airport and airway trust fund) | 1,777 | 1,938 | 2,234 |
| Research, engineering and development (Airport and airway trust fund) | 188 | 176 | 193 |
| Federal-aid highways | 31,267 | 32,991 | 33,310 |
| Highway traffic safety grants | 126 | 128 | 164 |
| Capital grants to the National Railroad Passenger Corporation (H) | --- | --- | 373 |
| Major capital investments (Highway trust fund, mass transit account) | 3,951 | 4,542 | 4,048 |
| Formula programs (Highway trust fund) | --- | --- | 3,524 |
| Civil service retirement and disability fund | 3,529 | 3,683 | 3,837 |
| National Service Life Insurance fund | 1,240 | 1,285 | 1,323 |
| Foreign military sales trust fund | 24,030 | 23,620 | 22,390 |
| Military retirement fund | 2,606 | 2,669 | 2,734 |
| Rail Industry Pension Fund | 268 | 270 | 272 |
| Other | <u>1,009</u> | <u>1,133</u> | <u>1,418</u> |
| NON-REVOLVING TRUST FUNDS Subtotal..... | 135,181 | 138,057 | 143,268 |
| Surcharge collections, sales of commissary stores, defense | 309 | 296 | 258 |
| Assessment funds | 222 | 241 | 246 |
| Employees and retired employees health benefits fund | 1,213 | 1,120 | 1,060 |
| Veterans special life insurance fund | 185 | 196 | 207 |
| Other | <u>-631</u> | <u>-600</u> | <u>-564</u> |
| REVOLVING TRUST FUNDS Subtotal..... | 1,298 | 1,253 | 1,207 |
| TOTAL, TRUST FUND OBLIGATED BALANCES..... | <u>136,479</u> | <u>139,310</u> | <u>144,475</u> |

TABLE 9

TRUST FUND UNOBLIGATED BALANCES, BY AGENCY

(In millions of dollars)

| Department or other unit | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Legislative Branch | 78 | 78 | 79 |
| Judicial Branch | 368 | 404 | 446 |
| Department of Agriculture | 405 | 350 | 350 |
| Department of Defense--Military | 193 | 131 | 144 |
| Department of Energy | 1 | 1 | 1 |
| Department of Health and Human Services | 40 | 37 | 37 |
| Department of the Interior | 2,199 | 2,177 | 2,170 |
| Department of Justice | 95 | 101 | 95 |
| Department of Labor | 761 | 768 | 773 |
| Department of State | 82 | 72 | 72 |
| Department of Transportation | 4,267 | 2,858 | 2,054 |
| Department of the Treasury | 49 | 58 | 67 |
| Department of Veterans Affairs | 12,440 | 12,256 | 11,985 |
| Corps of Engineers | 493 | 543 | 514 |
| Other Defense Civil Programs | 137 | 134 | 134 |
| Environmental Protection Agency | 582 | --- | --- |
| Federal Emergency Management Agency | 1 | 1 | 1 |
| International Assistance Programs | 5 | 1 | 1 |
| National Aeronautics and Space Administration | 16 | 18 | 18 |
| National Science Foundation | 14 | 14 | 14 |
| Office of Personnel Management | 23,985 | 25,079 | 26,244 |
| Other Independent Agencies | <u>1,505</u> | <u>1,597</u> | <u>1,679</u> |
| TOTAL..... | 47,716 | 46,678 | 46,878 |

TABLE 10

TRUST FUND UNOBLIGATED BALANCES, BY MAJOR FUNDS

(In millions of dollars)

| Description | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Judicial survivors' annuities fund | 279 | 298 | 321 |
| Forest service trust funds | 307 | 272 | 272 |
| Miscellaneous trust funds | 2,034 | 2,034 | 2,034 |
| Unemployment trust fund | 694 | 694 | 694 |
| Hazardous substance superfund | 580 | --- | --- |
| Facilities and equipment (Airport and airway trust fund) | 544 | 495 | 542 |
| Federal-aid highways | 2,798 | 1,870 | 1,380 |
| Major capital investments (Highway trust fund, mass transit acco) | 657 | 328 | --- |
| National Service Life Insurance fund | 10,783 | 10,650 | 10,436 |
| Coastal wetlands restoration trust fund | 123 | 141 | 158 |
| Inland waterways trust fund | 267 | 267 | 265 |
| Rail Industry Pension Fund | 945 | 970 | 979 |
| Gifts and contributions | 247 | 334 | 406 |
| Other | <u>1,834</u> | <u>1,669</u> | <u>1,559</u> |
| NON-REVOLVING TRUST FUNDS Subtotal..... | 22,092 | 20,022 | 19,046 |
| Employees life insurance fund | 18,401 | 19,504 | 20,664 |
| Employees and retired employees health benefits fund | 5,574 | 5,565 | 5,570 |
| Veterans special life insurance fund | 1,425 | 1,409 | 1,399 |
| Other | <u>224</u> | <u>178</u> | <u>199</u> |
| REVOLVING TRUST FUNDS Subtotal..... | 25,624 | 26,656 | 27,832 |
| TOTAL, TRUST FUND UNOBLIGATED BALANCES..... | <u>47,716</u> | <u>46,678</u> | <u>46,878</u> |

TABLE 11

TRUST FUND UNAVAILABLE COLLECTIONS, BY AGENCY

(In millions of dollars)

| Department or other unit | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Legislative Branch | --- | 2 | --- |
| Judicial Branch | 6 | 5 | 4 |
| Department of Agriculture | 3 | 10 | 17 |
| Department of Defense--Military | 827 | 830 | 829 |
| Department of Health and Human Services | 128,334 | 128,033 | 125,231 |
| Department of the Interior | 2 | 2 | 2 |
| Department of Justice | --- | 1 | 2 |
| Department of Labor | 61,420 | 70,197 | 78,000 |
| Department of State | 9,107 | 9,719 | 10,358 |
| Department of Transportation | 5,199 | 7,859 | 12,648 |
| Department of the Treasury | --- | 2 | 4 |
| Department of Veterans Affairs | 66 | 66 | 70 |
| Corps of Engineers | 1,507 | 1,902 | 2,440 |
| Other Defense Civil Programs | 137,278 | 143,656 | 149,937 |
| Environmental Protection Agency | 3,656 | 3,119 | 4,090 |
| Office of Personnel Management | 417,957 | 447,271 | 476,289 |
| Social Security Administration | 594,960 | 693,167 | 798,428 |
| Other Independent Agencies | <u>13,841</u> | <u>14,653</u> | <u>15,354</u> |
| TOTAL..... | 1,374,163 | 1,520,494 | 1,673,703 |

**BRIDGES FROM AUTHORIZATION
TO CASH BALANCES**

TABLE 12

FEDERAL FUND BRIDGE FROM AUTHORIZATION BASIS TO CASH

(In millions of dollars)

| Description | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Federal fund unexpended total: | | | |
| Balances available on an authorization basis.. | 834,279 | 815,022 | 815,016 |
| Unfunded contract authority: | | | |
| Working capital fund, Army | 1,367 | 1,367 | 1,367 |
| Working capital fund, Navy | 4,231 | 4,231 | 4,231 |
| Working capital fund, Air Force | 2,023 | 2,023 | 2,023 |
| Working capital fund, Defense wide | 5,967 | 5,967 | 5,967 |
| Annual contributions for assisted housing | 55,249 | 59,591 | 52,039 |
| Other assisted housing programs | 13,308 | 12,426 | 11,749 |
| Other | 304 | 65 | 66 |
| Sub-total, contract authority..... | 82,449 | 85,670 | 77,442 |
| Non-contract authority balances: | | | |
| Available on an authorization basis..... | 751,830 | 729,352 | 737,574 |
| Unavailable collections: | | | |
| Funds for strengthening markets, income, and su | 395 | 174 | --- |
| Agricultural credit insurance fund program acco | 366 | 366 | 366 |
| Forest Service permanent appropriations | 285 | 235 | 255 |
| Salaries and expenses | 196 | 354 | 288 |
| Environmental improvement and restoration fund | --- | 802 | --- |
| Abandoned mine reclamation fund | 1,222 | 1,378 | 1,501 |
| Reclamation fund | 1,700 | 1,864 | 2,029 |
| Land and water conservation fund | 11,862 | 11,793 | 12,424 |
| Historic preservation fund | 2,210 | 2,316 | 2,365 |
| Immigration support | 464 | 122 | 177 |
| Crime victims fund | 363 | 375 | 569 |
| Nuclear waste disposal fund | 6,072 | 6,950 | 7,870 |
| Uranium enrichment decontamination and decommis | 818 | 1,190 | 1,563 |
| Elk Hills school lands fund | --- | 324 | 288 |
| FHA-General and special risk program account | 2,138 | 2,840 | 3,133 |
| Guarantees of mortgage-backed securities loan g | --- | 382 | 6,088 |
| Business loan program account | 16 | 495 | 539 |
| Disaster loan program, downward reestimates of | --- | 390 | 390 |
| Medical care cost recovery fund | 486 | --- | --- |
| Veterans housing benefit program fund program a | 867 | 907 | 645 |
| Overseas Private Investment Corporation noncred | 2,381 | 2,556 | 2,732 |
| Federal supplemental District of Columbia Pensi | --- | 356 | 733 |
| Export Import Bank loans program account | 244 | 280 | 314 |
| Other | 1,647 | 1,623 | 3,635 |
| Sub-total, unavailable collections..... | <u>33,732</u> | <u>38,072</u> | <u>47,904</u> |
| Balances on a cash basis..... | 785,562 | 767,424 | 785,478 |



TABLE 13

TRUST FUND BRIDGE FROM AUTHORIZATION BASIS TO CASH BALANCES

(In millions of dollars)

| Description | Balances, end of year | | |
|---|-----------------------|------------------|------------------|
| | 1997 actual | 1998 estimate | 1999 estimate |
| Trust fund unexpended total: | | | |
| Balances available on an authorization basis.. | 186,233 | 188,055 | 193,524 |
| Unfunded contract authority: | | | |
| Aviation trust funds..... | 1,966 | 2,066 | 2,166 |
| Foreign military sales trust fund..... | 18,111 | 17,711 | 16,481 |
| Highway trust funds..... | 35,904 | 36,145 | 36,740 |
| Other trust funds | --- | 29 | 100 |
| Sub-total, contract authority..... | 55,981 | 55,951 | 55,487 |
| Non-contract balances: | | | |
| Available on an authorization basis..... | 130,252 | 132,104 | 138,037 |
| Unavailable collections: | | | |
| Aviation trust funds..... | 3,483 | 6,200 | 10,642 |
| Aquatic resources trust funds..... | 1,172 | 1,175 | 1,350 |
| Education benefits fund..... | 711 | 744 | 774 |
| Federal disability insurance trust fund..... | 57,031 | 69,758 | 82,570 |
| Federal employee retirement funds..... | 426,945 | 456,871 | 486,528 |
| Federal hospital insurance trust fund..... | 96,931 | 92,149 | 88,742 |
| Federal old-age and survivors insurance..... | 537,929 | 623,409 | 715,858 |
| Federal supplementary medical insurance..... | 30,210 | 34,548 | 34,999 |
| Foreign military sales trust fund..... | --- | --- | --- |
| Harbor maintenance trust fund..... | 1,106 | 1,447 | 1,895 |
| Hazardous substance superfund..... | 2,674 | 2,018 | 2,797 |
| Highway trust funds..... | --- | --- | --- |
| Leaking underground storage tank trust fund.... | 982 | 1,101 | 1,293 |
| Military retirement funds..... | 136,742 | 143,052 | 149,264 |
| Oil spill liability trust funds..... | 945 | 931 | 1,185 |
| Railroad retirement trust funds..... | 13,758 | 14,567 | 15,261 |
| Unemployment trust funds..... | 61,416 | 70,187 | 77,990 |
| Vaccine improvement program trust fund..... | 1,193 | 1,336 | 1,490 |
| Veterans life insurance funds..... | --- | --- | 5 |
| Voluntary separation incentive fund..... | 787 | 788 | 788 |
| Other trust funds | 148 | 213 | 272 |
| Sub-total, unavailable collections..... | 1,374,163 | 1,520,494 | 1,673,703 |
| Adjustment..... | <u>11,025</u> | <u>11,519</u> | <u>23,348</u> |
| Balances on a cash basis..... | 1,515,440 | 1,664,117 | 1,835,088 |



TABLE 14

REPORT ON THE EFFECT OF CERTAIN PAYMENTS AND ADJUSTMENTS TO OLD BALANCES ON THE FEDERAL DEFICIT

Purpose. Public Law (P.L.) 102-190, section 1004(a), required the Office of Management and Budget to report to Congress on deficit effects of changes made by the same Act in 1993, 1994, 1995, and 1996. Public Law 101-510 made the requirements for eliminating old balances in appropriation and fund accounts more stringent.

Impact. The impact on the deficit of P.L. 101-510 would be the difference between an estimate of what might have been the outlays under the old procedures and the outlays under the revised procedures. Under the revised procedures put in place by P.L. 101-510, there is now a fixed period after which the old balances are permanently canceled.

Available Data. This table shows the obligation adjustments in expired accounts for fiscal years 1995, 1996, and 1997. These represent the maximum potential deficit impact of canceling the obligated balances.

P.L. 101-510 Impact. Under P.L. 101-510, the balances are no longer allowed to be merged beginning with balances that expired at the end of fiscal year 1989. The expired balances in each account are being separately identified by expired year and published by the Department of the Treasury. Data on fiscal years 1992, 1993, and 1994 can be found in the 1994 "Annual Report, Appendix, United States Government" compiled by the Financial Management Service of the Department of the Treasury. Aggregate data for the merged balances (those that expired at the end of fiscal year 1988 and prior years) are shown on the line identified as "Prior Years."

TABLE 14

ADJUSTMENTS IN EXPIRED ACCOUNTS

(In millions of dollars)

| Department or other unit | 1995 actual | 1996 actual |
|---|----------------|----------------|
| Legislative Branch | -18 | -11 |
| Judicial Branch | 6 | 10 |
| Executive Office of the President | 1 | --- |
| Funds Appropriated to the President | -25 | -9 |
| Department of Agriculture | 5,765 | 12,894 |
| Department of Commerce | -18 | -13 |
| Department of Defense--Military | -2,549 | -2,931 |
| Department of Education | -245 | -277 |
| Department of Energy | 20 | --- |
| Department of Health and Human Services | -233 | -348 |
| Department of Housing and Urban Development | -9 | -6 |
| Department of the Interior | -7 | -13 |
| Department of Justice | -64 | --- |
| Department of Labor | -32 | -47 |
| Department of State | -54 | -12 |
| Department of Transportation | -52 | 12 |
| Department of the Treasury | -17 | -100 |
| Department of Veterans Affairs | -100 | -88 |
| Department of Defense Civil Agencies | -* | --- |
| Environmental Protection Agency | -49 | -41 |
| Federal Emergency Management Agency | -6 | -60 |
| General Services Administration | -10 | -21 |
| National Aeronautics and Space Administration | -17 | -26 |
| National Science Foundation | -9 | -13 |
| Office of Personnel Management | -1 | --- |
| Other Independent Agencies | -40 | -1 |
| TOTAL..... | 2,235 | 8,899 |

TABLE 15

CREDIT REFORM - FINANCING ACCOUNTS WITH UNOBLIGATED BALANCES

Under the Federal Credit Reform Act of 1990 (FCRA), new account structures were established for post-1991 direct loan obligations and loan guarantee commitments. All estimated subsidy costs from new direct loan obligations and loan guarantee commitments are recorded in accounts that are called “program” accounts and all cash flows are recorded in separate financing accounts. The net cash flows for these transactions are recorded outside the budget totals as a means of financing the deficit. Hence, these accounts are called “financing” accounts. In other words, only the unreimbursed costs of making or guaranteeing new loans --- the subsidy costs, on a net present value basis, and administrative expenses, on a cash basis --- are counted in the budget totals. The FCRA authorizes financing authority, which permits obligations to be incurred and is parallel to the concept of budget authority

This table presents the unobligated balances of financing authority in the credit financing accounts. The unobligated balances in the direct loan financing accounts are needed to repay Treasury for the amounts borrowed in the past to make loans and to provide working capital to pay the costs of foreclosing, managing, and selling collateral due to default. The unobligated balances in the loan guarantee financing accounts are needed to pay interest subsidies, claims to lenders when a borrower of a federally guaranteed loan is delinquent or in default, and to provide working capital to pay the costs of foreclosing, managing, and selling collateral due to default.

TABLE 15

CREDIT REFORM - FINANCING ACCOUNTS

| Description | Unobligated balances, end of year | | | | | |
|---|-----------------------------------|-----------|------------|--------------|------------|------------|
| | (In millions of dollars) | | | (By percent) | | |
| | 1997 | 1998 | 1999 | 1997 | 1998 | 1999 |
| DIRECT LOAN UNOBLIGATED BALANCES: | | | | | | |
| Agricultural credit insurance fund direct loan financing ac | 139 | 120 | 100 | 0.5 | 0.4 | 0.4 |
| Rural water and waste disposal direct loans financing accou | 110 | --- | --- | 0.4 | --- | --- |
| Business direct loan financing account | 260 | 231 | 217 | 1.0 | 0.9 | 0.8 |
| Disaster direct loan financing account | 4,003 | 4,003 | 4,216 | 15.9 | 16.1 | 17.1 |
| Other | <u>197</u> | <u>62</u> | <u>37</u> | <u>0.7</u> | <u>0.2</u> | <u>0.1</u> |
| DIRECT LOAN UNOBLIGATED BALANCES Subtotal..... | 4,709 | 4,416 | 4,570 | 18.7 | 17.8 | 18.6 |
| LOAN GUARANTEE UNOBLIGATED BALANCES: | | | | | | |
| Commodity credit corporation export guarantee financing acc | 1,010 | 1,036 | 1,036 | 4.0 | 4.1 | 4.2 |
| Rural housing insurance fund guaranteed loan financing acco | 71 | 87 | 99 | 0.2 | 0.3 | 0.4 |
| Rural business and industry guaranteed loans financing acco | 39 | 44 | 52 | 0.1 | 0.1 | 0.2 |
| Health education assistance loans | 247 | 266 | 276 | 0.9 | 1.0 | 1.1 |
| Federal family education loan program, financing account | 9,292 | 7,762 | 5,792 | 36.9 | 31.3 | 23.6 |
| Maritime guaranteed loan (Title XI) financing account | 184 | 296 | 337 | 0.7 | 1.1 | 1.3 |
| FHA-General and special risk guaranteed loan financing acco | 414 | 642 | 897 | 1.6 | 2.5 | 3.6 |
| FHA-Mutual mortgage insurance guaranteed loan financing acc | 182 | 288 | 301 | 0.7 | 1.1 | 1.2 |
| Guarantees of mortgage-backed securities financing account | 155 | 407 | 494 | 0.6 | 1.6 | 2.0 |
| Business guaranteed loan financing account | 1,651 | 1,487 | 1,489 | 6.5 | 5.9 | 6.0 |
| Veterans Housing Benefit Program Fund Guaranteed Loan Finan | 3,316 | 3,244 | 3,211 | 13.1 | 13.0 | 13.0 |
| Loan guarantees to Israel financing account | 397 | 485 | 516 | 1.5 | 1.9 | 2.1 |
| Urban and environmental credit guaranteed loan financing ac | 37 | 62 | 74 | 0.1 | 0.2 | 0.3 |
| Overseas private investment corporation guaranteed loan fin | 181 | 268 | 338 | 0.7 | 1.0 | 1.3 |
| Export-Import Bank guaranteed loan financing account | 3,141 | 3,909 | 4,946 | 12.4 | 15.7 | 20.1 |
| Other | <u>111</u> | <u>93</u> | <u>106</u> | <u>0.4</u> | <u>0.3</u> | <u>0.4</u> |
| LOAN GUARANTEE UNOBLIGATED BALANCES Subtotal..... | 20,428 | 20,376 | 19,964 | 81.2 | 82.1 | 81.3 |
| TOTAL, DIRECT LOAN AND LOAN GUARANTEE BALANCES..... | 25,137 | 24,792 | 24,534 | 100.0 | 100.0 | 100.0 |

APPENDIX

APPENDIX

Detailed Description of Classification Used in Table 5

I. **CRITICAL RESERVES.** The following balances were classified as critical reserves:

CREDIT LIQUIDATING ACCOUNTS -- Pursuant to the Federal Credit Reform Act of 1990, the cash flows associated with pre-1992 direct loan obligations and loan guarantee commitments are reported on a cash basis in *liquidating* accounts. The balances in direct loan liquidating accounts result from the repayments of principal and interest on the pre-1992 loans and are available solely to redeem agency borrowing from the Treasury or Federal Financing Bank. The balances in loan guarantee liquidating accounts are reserves needed to pay claims whenever there is a default on a loan that was guaranteed before the end of fiscal year 1991.

INTERNATIONAL MONETARY PROGRAMS -- These reserves are used to automatically fund U.S. balance of payment financing needs and for intervening in the foreign exchange market on behalf of the U.S. dollar.

The unobligated balances in the U.S. Quota accounts represent amounts that have left the Treasury (i.e., the U.S. share of its quota to the International Monetary Fund (IMF)) in return for international monetary reserves denominated in Special Drawing Rights (SDRs) from the IMF. These reserves are held at the IMF and are denominated in SDRs. This is somewhat akin to moving balances from one checking account to another, both of which are owned by the U.S.

Other SDR denominated assets appear as unobligated balances in the Exchange Stabilization Fund (ESF). These SDRs are used in the same manner as dollar assets and foreign currency assets in the ESF. The Secretary of Treasury is authorized to use the ESF to intervene in the foreign exchange market on behalf of the U.S. dollar. The principal sources of the ESF's income have been profits on foreign exchange transactions, interest on foreign exchange swap transactions, and interest on investments held by the fund. The estimated balances in the ESF are subject to considerable variances, as the amount and composition of assets and the interest rate earned on investments can change dramatically.

INSURANCE AND OTHER PROGRAMS -- The Federal Government provides insurance in certain areas (notably deposit insurance, pension guarantees, and emergencies) and other programs where there is a natural lag between the time funds are collected and provided and the time funds are needed (for example, callable capital on U.S. shares in multilateral financial institutions and amounts to reduce threats from the former Soviet Union). For these kinds of programs, appropriations and other budget authority provide for contingency backup, reserves, and debt redemption or funding sources pending sequential events, such as negotiations with other countries and certification by

Congress. The unobligated balances are carried forward to the extent such authority is not used or expire under the terms of the appropriations.

II. CAPITAL. This category contains balances for capital investments such as for major procurement and construction projects, and working capital.

PROCUREMENT AND CONSTRUCTION PROGRAMS -- Budget authority for most major procurement and construction projects covers the entire cost estimated when the projects are initiated, even though work will take place and outlays will be made over a period extending beyond the year for which the budget authority is enacted. (There are some exceptions to this requirement, notably for water resource programs). For these programs, the unobligated balances are needed to complete the project or program. Also, these balances reflect the long lead times required for such procurement. Real property programs in this category involve direct Federal Government land acquisition and improvement, and construction of facilities, which become capital assets.

OTHER REVOLVING FUNDS -- There are other revolving fund balances that do not meet the criteria established for the other categories, e.g. those classified as "Critical Reserves" that are maintained as working capital to keep the fund revolving. These funds provide goods and services to other Federal Government accounts or to the public.

III. OTHER PROGRAMS. The following balances were classified as subcategories of "Other Programs:"

SUBSIDIZED HOUSING PROGRAMS -- Budget authority for large portions of the subsidized housing programs of the Department of Housing and Urban Development is equal to the Government's estimated obligation to pay subsidies under contracts, which may extend for periods of up to 40 years. A large portion of the unobligated balances reflect budgetary resources for subsidized housing commitments that are not yet legal obligations. As contracts are signed, the amounts are obligated and become part of the obligated balances. These balances are earmarked to subsidize capital advances for housing for the elderly and disabled; for the development or acquisition costs of public and Indian housing; to assist with rental payments for low income families; and to assist with the removal of lead and lead-based paint.

FORWARD FUNDED, ADVANCE APPROPRIATIONS, AND ADVANCE FUNDED PROGRAMS -- Forward funding is budget authority that is made available for obligation beginning in the last quarter of the fiscal year for the financing of on-going grant programs during the next fiscal year. The budget authority for such programs is included in the budget totals for the year in which it is appropriated. This type of funding is often used for education and training and employment programs so that obligation grants can be made prior to the beginning of the next school year.

Advance appropriations are appropriations available one fiscal year or more beyond the fiscal year for which the appropriation is enacted. Advance appropriations in fiscal year 1999 appropriation acts will become available for programs in 2000 or beyond. Since these appropriations are not available until after fiscal year 1999, the amounts are not included in fiscal year 1999 budget totals, but are reflected in the budget totals for the fiscal year for which they are requested.

Advance funding is budget authority that is to be charged to the appropriation in the succeeding year but which authorizes obligations to be incurred in the last quarter of the fiscal year if necessary to meet higher than anticipated benefit payments in excess of the specific amount appropriated for the year. Essentially a device to avoid supplemental requests late in the fiscal year, advance funding is used for some benefits programs.

The “Appendix to the Budget of the United States Government” contains more information on advance appropriations, advance funding, and forward funded programs.

RESEARCH AND DEVELOPMENT PROGRAMS -- To a large extent, this category includes balances associated with programs authorized to develop, design, test, and evaluate new or improved weapons systems and related equipment. It also includes balances attributable to research and development programs concerned with the following: spaceflight; domestic energy sources; and physical, biomedical, and environmental sciences.

Multi-year appropriations of budget authority are frequently provided, and balances occur in these programs, because in some cases it is difficult to precisely determine the time or resources required to meet program objectives.

OTHER MISCELLANEOUS PROGRAMS -- This category is used when one of the other eight categories does not apply. It includes unobligated balances for all other programs specified as having multi-year and no-year budget authority.

Table 5 (pages 28 - 43) presents the Federal fund unobligated balances by category, agency, and account title -- to enable the user to view the balances in greater detail.