

# SOCIAL SECURITY ADMINISTRATION

## Federal Funds

### General and special funds:

#### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

For payment to the Federal Old-Age and Survivors Insurance and the Federal Disability Insurance trust funds, as provided under sections 201(m), 217(g), 228(g), and 1131(b)(2) of the Social Security Act, **[\$20,400,000]** \$434,400,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)

| Program and Financing (in millions of dollars)               |             |           |           |
|--|-------------|-----------|-----------|
| Identification code 28-0404-0-1-651                          | 2000 actual | 2001 est. | 2002 est. |
| <b>Obligations by program activity:</b>                      |             |           |           |
| 00.01 Taxation of benefits .....                             | 13,232      | 12,515    | 13,708    |
| 01.01 Other .....  | 22          | 26        | 440       |
| 10.00 Total new obligations .....                            | 13,254      | 12,541    | 14,148    |
| <b>Budgetary resources available for obligation:</b>         |             |           |           |
| 21.40 Unobligated balance carried forward, start of year     | 16          | 18        | 18        |
| 22.00 New budget authority (gross) .....                     | 13,262      | 12,541    | 14,148    |
| 23.90 Total budgetary resources available for obligation     | 13,278      | 12,559    | 14,166    |
| 23.95 Total new obligations .....                            | -13,254     | -12,541   | -14,148   |
| 23.98 Unobligated balance expiring or withdrawn .....        | -7          |           |           |
| 24.40 Unobligated balance carried forward, end of year ..... | 18          | 18        | 18        |
| <b>New budget authority (gross), detail:</b>                 |             |           |           |
| Mandatory:   |             |           |           |
| 60.00 Appropriation .....                                    | 21          | 20        | 434       |
| 60.05 Appropriation (indefinite) .....                       | 13,241      | 12,521    | 13,714    |
| 62.50 Appropriation (total mandatory) .....                  | 13,262      | 12,541    | 14,148    |
| <b>Change in unpaid obligations:</b>                         |             |           |           |
| Unpaid obligations, start of year:                           |             |           |           |
| 72.40 Unpaid obligations, start of year .....                | 1           | 2         | 2         |
| 72.99 Obligated balance, start of year .....                 | 1           | 2         | 2         |
| 73.10 Total new obligations .....                            | 13,254      | 12,541    | 14,148    |
| 73.20 Total outlays (gross) .....                            | -13,254     | -12,541   | -14,148   |
| Unpaid obligations, end of year:                             |             |           |           |
| 74.40 Unpaid obligations, end of year .....                  | 2           | 2         | 2         |
| 74.99 Obligated balance, end of year .....                   | 2           | 2         | 2         |
| <b>Outlays (gross), detail:</b>                              |             |           |           |
| 86.97 Outlays from new mandatory authority .....             | 13,253      | 12,541    | 14,148    |
| 86.98 Outlays from mandatory balances .....                  | 1           |           |           |
| 87.00 Total outlays (gross) .....                            | 13,254      | 12,541    | 14,148    |
| <b>Net budget authority and outlays:</b>                     |             |           |           |
| 89.00 Budget authority .....                                 | 13,262      | 12,541    | 14,148    |
| 90.00 Outlays .....  | 13,254      | 12,541    | 14,148    |

### Summary of Budget Authority and Outlays

(in millions of dollars)

|   | 2000 actual | 2001 est. | 2002 est. |
|---|-------------|-----------|-----------|
| Enacted/requested:                          |             |           |           |
| Budget Authority .....                      | 13,262      | 12,541    | 14,148    |
| Outlays .....                               | 13,254      | 12,541    | 14,148    |
| Legislative proposal, not subject to PAYGO: |             |           |           |
| Budget Authority .....                      |             |           | -140      |
| Outlays .....                               |             |           | -140      |
| Total:                                      |             |           |           |
| Budget Authority .....                      | 13,262      | 12,541    | 14,008    |
| Outlays .....                               | 13,254      | 12,541    | 14,008    |

This general fund appropriation reimburses the Social Security trust funds annually for (1) special payments to certain

uninsured persons, (2) pension reform, and (3) interest on unnegotiated checks. In addition, for FY 2002, the request includes funds to pay the OASI trust fund for the quinquennial adjustment for FICA tax equivalents related to military service deemed wage credits. It also includes amounts from taxation of Social Security benefits.

#### Object Classification (in millions of dollars)

| Identification code 28-0404-0-1-651         | 2000 actual | 2001 est. | 2002 est. |
|---|-------------|-----------|-----------|
| 25.2 Other services .....                   | 11          | 12        | 12        |
| 42.0 Insurance claims and indemnities ..... | 13,243      | 12,529    | 14,136    |
| 99.9 Total new obligations .....            | 13,254      | 12,541    | 14,148    |

#### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

(Legislative proposal, not subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 28-0404-2-1-651                   | 2000 actual | 2001 est. | 2002 est. |
|---|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>               |             |           |           |
| 10.00 Total new obligations (object class 42.0) ..... |             |           | -140      |
| <b>Budgetary resources available for obligation:</b>  |             |           |           |
| 22.00 New budget authority (gross) .....              |             |           | -140      |
| 23.95 Total new obligations .....                     |             |           | 140       |
| <b>New budget authority (gross), detail:</b>          |             |           |           |
| Mandatory:  |             |           |           |
| 60.05 Appropriation (indefinite) .....                |             |           | -140      |
| <b>Change in unpaid obligations:</b>                  |             |           |           |
| 73.10 Total new obligations .....                     |             |           | -140      |
| 73.20 Total outlays (gross) .....                     |             |           | 140       |
| <b>Outlays (gross), detail:</b>                       |             |           |           |
| 86.97 Outlays from new mandatory authority .....      |             |           | -140      |
| <b>Net budget authority and outlays:</b>              |             |           |           |
| 89.00 Budget authority .....                          |             |           | -140      |
| 90.00 Outlays .....                                   |             |           | -140      |

This schedule reflects the effect of the Administration's proposal to reduce individual income tax rates. A reduction in income tax rates would reduce the total taxation of Social Security benefits.

#### SPECIAL BENEFITS FOR DISABLED COAL MINERS

For carrying out title IV of the Federal Mine Safety and Health Act of 1977, **[\$365,748,000]** \$332,840,000, to remain available until expended.

For making, after July 31 of the current fiscal year, benefit payments to individuals under title IV of the Federal Mine Safety and Health Act of 1977, for costs incurred in the current fiscal year, such amounts as may be necessary.

For making benefit payments under title IV of the Federal Mine Safety and Health Act of 1977 for the first quarter of fiscal year **[2002, \$114,000,000]** 2003, \$108,000,000, to remain available until expended. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)

General and special funds—Continued

SPECIAL BENEFITS FOR DISABLED COAL MINERS—Continued

Program and Financing (in millions of dollars)

| Identification code 28-0409-0-1-601                          | 2000 actual | 2001 est. | 2002 est. |
|--|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                      |             |           |           |
| 10.00 Total new obligations .....                            | 519         | 490       | 454       |
| <b>Budgetary resources available for obligation:</b>         |             |           |           |
| 21.40 Unobligated balance carried forward, start of year     | 2           | 7         | 7         |
| 22.00 New budget authority (gross) .....                     | 525         | 490       | 447       |
| 23.90 Total budgetary resources available for obligation     | 527         | 497       | 454       |
| 23.95 Total new obligations .....                            | -519        | -490      | -454      |
| 24.40 Unobligated balance carried forward, end of year ..... | 7           | 7         |           |
| <b>New budget authority (gross), detail:</b>                 |             |           |           |
| Mandatory:   |             |           |           |
| 60.00 Appropriation .....                                    | 384         | 366       | 333       |
| 65.00 Advance appropriation .....                            | 141         | 124       | 114       |
| 70.00 Total new budget authority (gross) .....               | 525         | 490       | 447       |
| <b>Change in unpaid obligations:</b>                         |             |           |           |
| Unpaid obligations, start of year:                           |             |           |           |
| 72.40 Unpaid obligations, start of year .....                | 45          | 43        | 39        |
| 72.99 Obligated balance, start of year .....                 | 45          | 43        | 39        |
| 73.10 Total new obligations .....                            | 519         | 490       | 454       |
| 73.20 Total outlays (gross) .....                            | -521        | -492      | -458      |
| Unpaid obligations, end of year:                             |             |           |           |
| 74.40 Unpaid obligations, end of year .....                  | 43          | 39        | 36        |
| 74.99 Obligated balance, end of year .....                   | 43          | 39        | 36        |
| <b>Outlays (gross), detail:</b>                              |             |           |           |
| 86.97 Outlays from new mandatory authority .....             | 476         | 451       | 419       |
| 86.98 Outlays from mandatory balances .....                  | 45          | 41        | 39        |
| 87.00 Total outlays (gross) .....                            | 521         | 492       | 458       |
| <b>Net budget authority and outlays:</b>                     |             |           |           |
| 89.00 Budget authority .....                                 | 525         | 490       | 447       |
| 90.00 Outlays .....  | 521         | 492       | 458       |

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled from coal workers' pneumoconiosis (black lung) and to their widows and certain other dependents. SSA is responsible for processing and paying only those claims for coal miners' benefits that were filed between December 30, 1969, when the program originated, and June 30, 1973, when program administration was transferred to the Department of Labor (DOL).

Object Classification (in millions of dollars)

| Identification code 28-0409-0-1-601                                 | 2000 actual | 2001 est. | 2002 est. |
|---|-------------|-----------|-----------|
| Direct obligations:   |             |           |           |
| 11.5 Personnel compensation: Other personnel compensation .....     | 1           | 2         | 2         |
| 25.3 Purchases of goods and services from Government accounts ..... | 3           | 3         | 3         |
| 42.0 Insurance claims and indemnities .....                         | 515         | 484       | 448       |
| 99.0 Subtotal, direct obligations .....                             | 519         | 489       | 453       |
| 99.5 Below reporting threshold .....                                |             | 1         | 1         |
| 99.9 Total new obligations .....                                    | 519         | 490       | 454       |

Personnel Summary

| Identification code 28-0409-0-1-601                                     | 2000 actual | 2001 est. | 2002 est. |
|---|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment ..... | 2           | 4         | 4         |

SUPPLEMENTAL SECURITY INCOME PROGRAM

For carrying out titles XI and XVI of the Social Security Act, section 401 of Public Law 92-603, section 212 of Public Law 93-66, as amended, and section 405 of Public Law 95-216, including payment to the Social Security trust funds for administrative expenses incurred pursuant to section 201(g)(1) of the Social Security Act, **[\$23,043,000,000] \$21,270,412,000**, to remain available until expended: *Provided*, That any portion of the funds provided to a State in the current fiscal year and not obligated by the State during that year shall be returned to the Treasury.

In addition, **[\$210,000,000] \$200,000,000**, to remain available until September 30, **[2002] 2003**, for payment to the Social Security trust funds for administrative expenses for continuing disability reviews as authorized by section 103 of Public Law 104-121 and section 10203 of Public Law 105-33. The term "continuing disability reviews" means reviews and redeterminations as defined under section 201(g)(1)(A) of the Social Security Act, as amended.

For making, after June 15 of the current fiscal year, benefit payments to individuals under title XVI of the Social Security Act, for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making benefit payments under title XVI of the Social Security Act for the first quarter of fiscal year **[2002, \$10,470,000,000] 2003, \$10,790,000,000**, to remain available until expended. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Unavailable Collections (in millions of dollars)

| Identification code 28-0406-0-1-609              | 2000 actual | 2001 est. | 2002 est. |
|--|-------------|-----------|-----------|
| 01.99 Balance, start of year .....               | 2           | 5         | 14        |
| Receipts:  |             |           |           |
| 02.20 State supplemental fees .....              | 83          | 100       | 106       |
| 04.00 Total: Balances and collections .....      | 85          | 105       | 120       |
| Appropriations:                                  |             |           |           |
| 05.00 Supplemental security income program ..... | -80         | -91       | -106      |
| 07.99 Balance, end of year .....                 | 5           | 14        | 14        |

Program and Financing (in millions of dollars)

| Identification code 28-0406-0-1-609                                       | 2000 actual | 2001 est. | 2002 est. |
|---|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                                   |             |           |           |
| 00.01 Direct program .....  | 33,398      | 30,754    | 34,382    |
| 09.01 State supplementation payments .....                                | 3,399       | 3,265     | 3,655     |
| 09.02 Administration of State supplementation payments .....              | 80          | 91        | 106       |
| 09.09 Reimbursable program .....  | 3,479       | 3,356     | 3,761     |
| 10.00 Total new obligations .....   | 36,877      | 34,110    | 38,143    |
| <b>Budgetary resources available for obligation:</b>                      |             |           |           |
| 21.40 Unobligated balance carried forward, start of year                  | 44          | 53        | 2,747     |
| 22.00 New budget authority (gross) .....                                  | 36,867      | 36,804    | 35,711    |
| 22.10 Resources available from recoveries of prior year obligations ..... | 19          |           |           |
| 23.90 Total budgetary resources available for obligation                  | 36,930      | 36,857    | 38,458    |
| 23.95 Total new obligations .....   | -36,877     | -34,110   | -38,143   |
| 24.40 Unobligated balance carried forward, end of year .....              | 53          | 2,747     | 315       |

New budget authority (gross), detail:

|  |        |        |        |
|--|--------|--------|--------|
| Discretionary:   |        |        |        |
| 40.00 Appropriation .....  | 2,360  | 2,582  | 2,850  |
| 40.20 Appropriation (special fund, definite, State admin user fees) .....    | 80     | 91     | 106    |
| 43.00 Appropriation (total discretionary) .....                              | 2,440  | 2,673  | 2,956  |
| Mandatory:   |        |        |        |
| 60.00 Appropriation .....  | 19,343 | 20,671 | 18,620 |
| 60.05 Appropriation (indefinite) .....                                       | 2,135  |        |        |
| 62.50 Appropriation (total mandatory) .....                                  | 21,478 | 20,671 | 18,620 |
| 65.00 Advance appropriation .....  | 9,550  | 9,890  | 10,470 |
| Offsetting collections (cash):   |        |        |        |
| 69.00 Offsetting collections (cash) .....                                    | 3,399  | 3,265  | 3,655  |
| 69.00 Offsetting collections (cash) .....                                    |        | 305    | 10     |
| 69.90 Spending authority from offsetting collections (total mandatory) ..... | 3,399  | 3,570  | 3,665  |

|   |   |         |         |         |
|---|---|---------|---------|---------|
| 70.00                                       | Total new budget authority (gross) .....                      | 36,867  | 36,804  | 35,711  |
| <b>Change in unpaid obligations:</b>        |   |         |         |         |
| Unpaid obligations, start of year:          |   |         |         |         |
| 72.40                                       | Unpaid obligations, start of year .....                       | 541     | 512     | 520     |
| 72.99                                       | Obligated balance, start of year .....                        | 541     | 512     | 520     |
| 73.10                                       | Total new obligations .....                                   | 36,877  | 34,110  | 38,143  |
| 73.20                                       | Total outlays (gross) .....                                   | -36,887 | -34,102 | -38,096 |
| 73.45                                       | Recoveries of prior year obligations .....                    | -19     |         |         |
| Unpaid obligations, end of year:            |   |         |         |         |
| 74.40                                       | Unpaid obligations, end of year .....                         | 512     | 520     | 567     |
| 74.99                                       | Obligated balance, end of year .....                          | 512     | 520     | 567     |
| <b>Outlays (gross), detail:</b>             |   |         |         |         |
| 86.90                                       | Outlays from new discretionary authority .....                | 2,409   | 2,357   | 2,608   |
| 86.93                                       | Outlays from discretionary balances .....                     | 15      | 323     | 316     |
| 86.97                                       | Outlays from new mandatory authority .....                    | 34,427  | 31,422  | 32,425  |
| 86.98                                       | Outlays from mandatory balances .....                         | 37      |         | 2,747   |
| 87.00                                       | Total outlays (gross) .....                                   | 36,887  | 34,102  | 38,096  |
| <b>Offsets:</b>                             |   |         |         |         |
| Against gross budget authority and outlays: |   |         |         |         |
| 88.40                                       | Offsetting collections (cash) from: Non-Federal sources ..... | -3,399  | -3,570  | -3,665  |
| <b>Net budget authority and outlays:</b>    |   |         |         |         |
| 89.00                                       | Budget authority .....  | 33,468  | 33,234  | 32,046  |
| 90.00                                       | Outlays .....   | 33,488  | 30,532  | 34,431  |

Title XVI of the Social Security Act established a supplemental security income (SSI) program to provide monthly cash benefits as a federally guaranteed minimum income for the needy aged, blind, and disabled.

**Object Classification** (in millions of dollars)

| Identification code 28-0406-0-1-609 | 2000 actual                                | 2001 est. | 2002 est. |        |
|-------------------------------------|--|-----------|-----------|--------|
| <b>Direct obligations:</b>          |  |           |           |        |
| 41.0                                | Grants, subsidies, and contributions ..... | 31,046    | 28,195    | 31,555 |
| 92.0                                | Undistributed .....                        | 2,352     | 2,559     | 2,827  |
| 99.0                                | Subtotal, direct obligations .....         | 33,398    | 30,754    | 34,382 |
| 99.0                                | Reimbursable obligations .....             | 3,479     | 3,356     | 3,761  |
| 99.9                                | Total new obligations .....                | 36,877    | 34,110    | 38,143 |

**SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS**

**Program and Financing** (in millions of dollars)

| Identification code 28-0401-0-1-701                  | 2000 actual                                     | 2001 est. | 2002 est. |     |
|--|---|-----------|-----------|-----|
| <b>Obligations by program activity:</b>              |   |           |           |     |
| 10.00  | Total new obligations .....                     | 2         | 11        | 10  |
| <b>Budgetary resources available for obligation:</b> |   |           |           |     |
| 22.00  | New budget authority (gross) .....              | 4         | 11        | 10  |
| 23.95  | Total new obligations .....                     | -2        | -11       | -10 |
| 23.98  | Unobligated balance expiring or withdrawn ..... | -2        |           |     |
| <b>New budget authority (gross), detail:</b>         |   |           |           |     |
| Discretionary:                                       |   |           |           |     |
| 40.05  | Appropriation (indefinite) .....                | 3         | 2         | 2   |
| Mandatory:   |   |           |           |     |
| 60.05  | Appropriation (indefinite) .....                | 1         | 9         | 8   |
| 70.00  | Total new budget authority (gross) .....        | 4         | 11        | 10  |
| <b>Change in unpaid obligations:</b>                 |   |           |           |     |
| Unpaid obligations, start of year:                   |   |           |           |     |
| 73.10  | Total new obligations .....                     | 2         | 11        | 10  |
| 73.20  | Total outlays (gross) .....                     | -2        | -11       | -10 |
| <b>Outlays (gross), detail:</b>                      |   |           |           |     |
| 86.90  | Outlays from new discretionary authority .....  | 1         | 2         | 2   |
| 86.97  | Outlays from new mandatory authority .....      | 1         | 9         | 8   |
| 87.00  | Total outlays (gross) .....                     | 2         | 11        | 10  |

| <b>Net budget authority and outlays:</b> |                        |   |    |    |
|--|------------------------|---|----|----|
| 89.00                                    | Budget authority ..... | 4 | 11 | 10 |
| 90.00                                    | Outlays .....          | 1 | 11 | 10 |

Public Law 106-169 established a benefit program for certain individuals who are at least 65 years old, were in the United States military forces, including veterans of the Filipino Army and Filipino Scouts, during World War II, and who are currently eligible for supplemental security income. To receive this benefit these individuals must reside outside the United States.

**Object Classification** (in millions of dollars)

| Identification code 28-0401-0-1-701 | 2000 actual                            | 2001 est. | 2002 est. |    |
|-------------------------------------|--|-----------|-----------|----|
| 25.2                                | Other services .....                   | 1         | 2         | 2  |
| 42.0                                | Insurance claims and indemnities ..... | 1         | 9         | 8  |
| 99.9                                | Total new obligations .....            | 2         | 11        | 10 |

**OFFICE OF INSPECTOR GENERAL**  
(INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$16,944,000] \$19,000,000**, together with not to exceed **[\$52,500,000] \$56,000,000**, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund.

In addition, an amount not to exceed 3 percent of the total provided in this appropriation may be transferred from the "Limitation on Administrative Expenses", Social Security Administration, to be merged with this account, to be available for the time and purposes for which this account is available: *Provided*, That notice of such transfers shall be transmitted promptly to the Committees on Appropriations of the House and Senate. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**Program and Financing** (in millions of dollars)

| Identification code 28-0400-0-1-651                  | 2000 actual  | 2001 est. | 2002 est. |     |
|--|--|-----------|-----------|-----|
| <b>Obligations by program activity:</b>              |  |           |           |     |
| 10.00  | Total new obligations .....  | 65        | 69        | 75  |
| <b>Budgetary resources available for obligation:</b> |  |           |           |     |
| 22.00  | New budget authority (gross) .....   | 66        | 69        | 75  |
| 23.95  | Total new obligations .....  | -65       | -69       | -75 |
| 23.98  | Unobligated balance expiring or withdrawn .....                            | -1        |           |     |
| <b>New budget authority (gross), detail:</b>         |  |           |           |     |
| Discretionary:                                       |  |           |           |     |
| 40.00  | Appropriation .....  | 15        | 17        | 19  |
| Spending authority from offsetting collections:      |  |           |           |     |
| 68.00  | Offsetting collections (cash) .....  | 46        | 59        | 56  |
| 68.10  | Change in uncollected customer payments from Federal sources .....         | 5         | -7        |     |
| 68.90  | Spending authority from offsetting collections (total discretionary) ..... | 51        | 52        | 56  |
| 70.00  | Total new budget authority (gross) .....                                   | 66        | 69        | 75  |
| <b>Change in unpaid obligations:</b>                 |  |           |           |     |
| Unpaid obligations, start of year:                   |  |           |           |     |
| 72.40  | Unpaid obligations, start of year .....                                    | 1         | 14        | 7   |
| 72.95  | Uncollected customer payments from Federal sources, start of year .....    | -11       | -16       | -9  |
| 72.99  | Obligated balance, start of year .....                                     | -10       | -2        | -2  |
| 73.10  | Total new obligations .....  | 65        | 69        | 75  |
| 73.20  | Total outlays (gross) .....  | -59       | -76       | -75 |
| 73.40  | Adjustments in expired accounts (net) .....                                | 7         |           |     |
| 74.00  | Change in uncollected customer payments from Federal sources .....         | -5        | 7         |     |
| Unpaid obligations, end of year:                     |  |           |           |     |
| 74.40  | Unpaid obligations, end of year .....                                      | 14        | 7         | 7   |

**General and special funds—Continued**

**OFFICE OF INSPECTOR GENERAL—Continued  
(INCLUDING TRANSFER OF FUNDS)—Continued**

**Program and Financing (in millions of dollars)—Continued**

| Identification code 28-0400-0-1-651                                   | 2000 actual | 2001 est. | 2002 est. |
|---|-------------|-----------|-----------|
| 74.95 Uncollected customer payments from Federal sources, end of year | -16         | -9        | -9        |
| 74.99 Obligated balance, end of year                                  | -2          | -2        | -2        |
| <b>Outlays (gross), detail:</b>                                       |             |           |           |
| 86.90 Outlays from new discretionary authority                        | 52          | 62        | 69        |
| 86.93 Outlays from discretionary balances                             | 7           | 14        | 6         |
| 87.00 Total outlays (gross)   | 59          | 76        | 75        |
| <b>Offsets:</b>   |             |           |           |
| Against gross budget authority and outlays:                           |             |           |           |
| 88.00 Offsetting collections (cash) from: Federal sources             | -46         | -59       | -56       |
| Against gross budget authority only:                                  |             |           |           |
| 88.95 Change in uncollected customer payments from Federal sources    | -5          | 7         |           |
| <b>Net budget authority and outlays:</b>                              |             |           |           |
| 89.00 Budget authority  | 15          | 17        | 19        |
| 90.00 Outlays   | 13          | 17        | 19        |

The Office of the Inspector General provides agency-wide audit and investigative functions to help find and correct operational and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement.

**Object Classification (in millions of dollars)**

| Identification code 28-0400-0-1-651                           | 2000 actual | 2001 est. | 2002 est. |
|---|-------------|-----------|-----------|
| <b>Direct obligations:</b>                                    |             |           |           |
| 11.1 Personnel compensation: Full-time permanent              | 37          | 45        | 47        |
| 12.1 Civilian personnel benefits                              | 11          | 13        | 14        |
| 21.0 Travel and transportation of persons                     | 4           | 3         | 3         |
| 23.1 Rental payments to GSA                                   | 3           | 3         | 3         |
| 23.3 Communications, utilities, and miscellaneous charges     | 1           | 1         | 1         |
| 25.2 Other services   | 1           | 1         | 3         |
| 25.3 Purchases of goods and services from Government accounts | 7           | 1         |           |
| 26.0 Supplies and materials                                   | 1           | 1         | 1         |
| 31.0 Equipment  |             |           | 2         |
| 32.0 Land and structures                                      |             |           | 1         |
| 99.0 Subtotal, direct obligations                             | 65          | 68        | 75        |
| 99.5 Below reporting threshold                                |             | 1         |           |
| 99.9 Total new obligations                                    | 65          | 69        | 75        |

**Personnel Summary**

| Identification code 28-0400-0-1-651                               | 2000 actual | 2001 est. | 2002 est. |
|---|-------------|-----------|-----------|
| 1001 Total compensable workyears: Full-time equivalent employment | 517         | 584       | 584       |

**Trust Funds**

**FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND**

**Unavailable Collections (in millions of dollars)**

| Identification code 20-8006-0-7-651            | 2000 actual | 2001 est. | 2002 est. |
|--|-------------|-----------|-----------|
| 01.99 Balance, start of year                   | 731,056     | 859,907   | 995,562   |
| <b>Receipts:</b>                               |             |           |           |
| 02.00 Transfers from general fund (FICA taxes) | 391,732     | 409,951   | 431,704   |
| 02.01 Transfers from general fund (SECA taxes) | 21,635      | 22,995    | 24,238    |
| 02.02 Refunds                                  | -1,695      | -2,030    | -2,089    |
| 02.03 Deposits by states                       | 5           |           |           |

|   |           |           |           |
|---|-----------|-----------|-----------|
| 02.20 Treasury offset program   | 18        | 18        | 18        |
| 02.21 Attorney fees   |           | 1         | 1         |
| 02.40 Federal employer contributions (FICA taxes)   | 6,535     | 6,726     | 7,259     |
| 02.41 Interest received by trust funds  | 53,531    | 61,293    | 67,417    |
| <b>Offsetting receipts (intragovernmental):</b>   |           |           |           |
| 02.42 Federal payments to the FOASI trust fund  | 12,491    | 11,803    | 12,926    |
| 02.42 Federal payments to the FOASI trust fund, legislative proposal not subject to PAYGO |           |           | -131      |
| 02.43 Payments for military service credits   | 7         | 7         | 423       |
| 02.80 Current law, offsetting collections   | 2,343     | 2,598     | 2,689     |
| 02.99 Total receipts and collections  | 486,602   | 513,362   | 544,455   |
| 04.00 Total: Balances and collections   | 1,217,658 | 1,373,269 | 1,540,017 |
| <b>Appropriations:</b>  |           |           |           |
| 05.00 Current law   | -357,751  | -377,707  | -394,097  |
| 05.99 Total appropriations  | -357,751  | -377,707  | -394,097  |
| 07.99 Balance, end of year  | 859,907   | 995,562   | 1,145,920 |

**Program and Financing (in millions of dollars)**

| Identification code 20-8006-0-7-651                  | 2000 actual | 2001 est. | 2002 est. |
|--|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>              |             |           |           |
| 00.01 Direct program                                 | 357,729     | 377,669   | 394,058   |
| 09.01 Reimbursable program                           | 22          | 39        | 39        |
| 10.00 Total new obligations                          | 357,751     | 377,708   | 394,097   |
| <b>Budgetary resources available for obligation:</b> |             |           |           |
| 22.00 New budget authority (gross)                   | 357,751     | 377,707   | 394,097   |
| 23.95 Total new obligations                          | -357,751    | -377,708  | -394,097  |

**New budget authority (gross), detail:**

|  |          |          |          |
|--|----------|----------|----------|
| <b>Discretionary:</b>                        |          |          |          |
| 40.26 Appropriation (trust fund, definite)   | 1,784    | 1,898    | 1,895    |
| 40.76 Reduction pursuant to P.L. 106-113     | -2       |          |          |
| 43.00 Appropriation (total discretionary)    | 1,782    | 1,898    | 1,895    |
| <b>Mandatory:</b>                            |          |          |          |
| 60.27 Appropriation (trust fund, indefinite) | 482,477  | 508,865  | 540,002  |
| 60.45 Portion precluded from obligation      | -128,851 | -135,654 | -150,489 |
| 62.50 Appropriation (total mandatory)        | 353,626  | 373,211  | 389,513  |
| 69.00 Offsetting collections (cash)          | 2,343    | 2,598    | 2,689    |
| 70.00 Total new budget authority (gross)     | 357,751  | 377,707  | 394,097  |

**Change in unpaid obligations:**

|   |          |          |          |
|---|----------|----------|----------|
| <b>Unpaid obligations, start of year:</b> |          |          |          |
| 72.40 Unpaid obligations, start of year   | 31,320   | 33,301   | 34,636   |
| 72.99 Obligated balance, start of year    | 31,320   | 33,301   | 34,636   |
| 73.10 Total new obligations               | 357,751  | 377,708  | 394,097  |
| 73.20 Total outlays (gross)               | -355,770 | -376,373 | -392,749 |
| <b>Unpaid obligations, end of year:</b>   |          |          |          |
| 74.40 Unpaid obligations, end of year     | 33,301   | 34,636   | 35,984   |
| 74.99 Obligated balance, end of year      | 33,301   | 34,636   | 35,984   |

**Outlays (gross), detail:**

|  |         |         |         |
|--|---------|---------|---------|
| 86.90 Outlays from new discretionary authority | 1,562   | 1,758   | 1,774   |
| 86.93 Outlays from discretionary balances      | 238     | 284     | 140     |
| 86.97 Outlays from new mandatory authority     | 353,669 | 374,030 | 390,835 |
| 86.98 Outlays from mandatory balances          | 301     | 301     |         |
| 87.00 Total outlays (gross)                    | 355,770 | 376,373 | 392,749 |

**Offsets:**

|   |        |        |        |
|---|--------|--------|--------|
| <b>Against gross budget authority and outlays:</b>        |        |        |        |
| <b>Offsetting collections (cash) from:</b>                |        |        |        |
| 88.00 Federal sources                                     | -2,321 | -2,559 | -2,650 |
| 88.40 Non-Federal sources                                 | -8     | -8     | -8     |
| 88.45 Offsetting governmental collections from the public | -14    | -31    | -31    |
| 88.90 Total, offsetting collections (cash)                | -2,343 | -2,598 | -2,689 |

**Net budget authority and outlays:**

|                        |         |         |         |
|------------------------|---------|---------|---------|
| 89.00 Budget authority | 355,408 | 375,109 | 391,408 |
| 90.00 Outlays          | 353,427 | 373,775 | 390,060 |

**Memorandum (non-add) entries:**

|   |         |         |           |
|---|---------|---------|-----------|
| 92.01 Total investments, start of year: Federal securities: Par value | 762,226 | 893,519 | 1,030,615 |
|---|---------|---------|-----------|

|       |   |         |           |           |
|-------|---|---------|-----------|-----------|
| 92.02 | Total investments, end of year: Federal securities: |         |           |           |
|       | Par value .....                                     | 893,519 | 1,030,615 | 1,182,032 |

The old-age and survivors insurance (OASI) program provides monthly cash benefits to retired workers and their dependents and to survivors of deceased workers.

**Status of Funds** (in millions of dollars)

| Identification code 20-8006-0-7-651             | 2000 actual   | 2001 est.      | 2002 est.        |                  |
|---|---|----------------|------------------|------------------|
| <b>Unexpended balance, start of year:</b>       |   |                |                  |                  |
| 0100  | Treasury balance .....  | 150            | -311             | -419             |
| 0101  | U.S. Securities: Par value .....                              | 762,226        | 893,519          | 1,030,615        |
| 0199  | <b>Total balance, start of year .....</b>                     | <b>762,376</b> | <b>893,208</b>   | <b>1,030,198</b> |
| <b>Cash income during the year:</b>             |   |                |                  |                  |
| <b>Current law:</b>                             |   |                |                  |                  |
| <b>Receipts:</b>                                |   |                |                  |                  |
| 1200  | FICA taxes .....  | 391,732        | 409,951          | 431,704          |
| 1201  | SECA Taxes .....  | 21,635         | 22,995           | 24,238           |
| 1202  | Refunds .....   | -1,695         | -2,030           | -2,089           |
| 1203  | Deposits by States .....                                      | 5              |                  |                  |
| <b>Offsetting receipts (proprietary):</b>       |   |                |                  |                  |
| 1220  | Treasury offset program .....                                 | 18             | 18               | 18               |
| 1221  | Attorney fees .....   |                | 1                | 1                |
| <b>Offsetting receipts (intragovernmental):</b> |   |                |                  |                  |
| 1240  | Federal employer contributions (FICA taxes) .....             | 6,535          | 6,726            | 7,259            |
| 1241  | Interest received by trust fund .....                         | 53,531         | 61,293           | 67,417           |
| <b>Offsetting receipts (intragovernmental):</b> |   |                |                  |                  |
| 1242  | Individual income taxes on OASI benefits .....                | 12,481         | 11,786           | 12,909           |
| 1242  | Pension reform .....  | 3              | 6                | 6                |
| 1242  | Credit for unnegotiated OASI checks .....                     | 7              | 11               | 11               |
| 1243  | Federal payments for military service credits .....           | 7              | 7                | 423              |
| <b>Offsetting collections:</b>                  |   |                |                  |                  |
| 1280  | Offsetting collections .....                                  | 2,343          | 2,598            | 2,689            |
| 1299  | Income under present law .....                                | 486,602        | 513,362          | 544,586          |
| <b>Proposed legislation:</b>                    |   |                |                  |                  |
| <b>Offsetting receipts (intragovernmental):</b> |   |                |                  |                  |
| 2242  | Federal payments, legislative proposal subject to PAYGO ..... |                |                  | -131             |
| 3299  | <b>Total cash income .....</b>                                | <b>486,602</b> | <b>513,362</b>   | <b>544,455</b>   |
| <b>Cash outgo during year:</b>                  |   |                |                  |                  |
| <b>Current law:</b>                             |   |                |                  |                  |
| <b>Cash outgo during the year (-):</b>          |   |                |                  |                  |
| 4500  | Benefit payments .....  | -347,894       | -368,300         | -384,312         |
| 4500  | Payments to Railroad Retirement Board .....                   | -3,538         | -3,227           | -3,627           |
| 4500  | Administrative expenses (subject to limitation) .....         | -1,800         | -2,042           | -1,914           |
| 4500  | Administrative expenses (Department of Treasury) .....        | -195           | -206             | -207             |
| 4500  | Outlays from offsetting collections .....                     | -2,343         | -2,598           | -2,689           |
| 4599  | Outgo under current law .....                                 | -355,770       | -376,373         | -392,749         |
| <b>Unexpended balance, end of year:</b>         |   |                |                  |                  |
| 8700  | Uninvested balance .....                                      | -311           | -419             | -130             |
| 8701  | Federal securities: Par value .....                           | 893,519        | 1,030,615        | 1,182,032        |
| 8799  | <b>Total balance, end of year .....</b>                       | <b>893,208</b> | <b>1,030,198</b> | <b>1,181,904</b> |

**Object Classification** (in millions of dollars)

| Identification code 20-8006-0-7-651   | 2000 actual  | 2001 est.      | 2002 est.      |                |
|---|--|----------------|----------------|----------------|
| <b>Direct obligations:</b>  |  |                |                |                |
| 25.3  | Office of the Inspector General .....  | 32             | 33             | 34             |
| 42.0  | Retirement and survivors insurance benefits .....                              | 349,809        | 369,595        | 385,599        |
| <b>Undistributed:</b>   |  |                |                |                |
| 92.0  | Reimbursement for administrative expenses of Department of the Treasury .....  | 195            | 206            | 207            |
| 92.0  | Payment to railroad retirement account (net settlement) (45 U.S.C. 228g) ..... | 3,538          | 3,227          | 3,627          |
| <b>Administrative expenses: Portion of limitation on administrative expenses, Social Security Administration:</b> |  |                |                |                |
| <b>Limitation on expenses:</b>  |  |                |                |                |
| 93.0  | OASI program .....   | 1,834          | 2,049          | 1,941          |
| 93.0  | SSI program .....  | 2,321          | 2,559          | 2,650          |
| 99.0  | Subtotal, direct obligations .....   | 357,729        | 377,669        | 394,058        |
| 99.0  | Reimbursable obligations .....   | 22             | 39             | 39             |
| 99.9  | <b>Total new obligations .....</b>   | <b>357,751</b> | <b>377,708</b> | <b>394,097</b> |

**FEDERAL DISABILITY INSURANCE TRUST FUND**

**Unavailable Collections** (in millions of dollars)

| Identification code 20-8007-0-7-651             | 2000 actual   | 2001 est.      | 2002 est.      |                |
|---|---|----------------|----------------|----------------|
| 01.99   | Balance, start of year .....  | 85,724         | 106,120        | 127,471        |
| <b>Receipts:</b>                                |   |                |                |                |
| 02.00   | Transfers from general fund (FICA taxes) .....  | 65,401         | 69,607         | 73,304         |
| 02.01   | Transfers from general fund (SECA taxes) .....  | 3,772          | 3,692          | 4,118          |
| 02.02   | Refunds .....   | -270           | -345           | -355           |
| 02.03   | Deposits by states .....  | 4              |                |                |
| 02.20   | Treasury offset program .....   | 26             | 26             | 26             |
| 02.22   | Attorney fees .....   | 8              | 39             | 39             |
| 02.40   | Federal employer contributions (FICA taxes) .....                                       | 1,093          | 1,142          | 1,233          |
| 02.41   | Interest received by trust funds .....  | 6,265          | 7,593          | 8,669          |
| <b>Offsetting receipts (intragovernmental):</b> |   |                |                |                |
| 02.42   | Federal payments to the FDI trust fund .....  | 761            | 738            | 808            |
| 02.42   | Federal payments to the FDI trust fund, legislative proposal not subject to PAYGO ..... |                |                | -9             |
| 02.43   | Payments for military service credits .....   | 2              | 2              | 2              |
| 02.99   | <b>Total receipts and collections .....</b>   | <b>77,062</b>  | <b>82,494</b>  | <b>87,835</b>  |
| 04.00   | <b>Total: Balances and collections .....</b>  | <b>162,786</b> | <b>188,614</b> | <b>215,306</b> |
| <b>Appropriations:</b>                          |   |                |                |                |
| 05.00   | Federal disability insurance trust fund .....   | -56,666        | -61,143        | -65,087        |
| 05.99   | <b>Total appropriations .....</b>   | <b>-56,666</b> | <b>-61,143</b> | <b>-65,087</b> |
| 07.99   | <b>Balance, end of year .....</b>   | <b>106,120</b> | <b>127,471</b> | <b>150,219</b> |

**Program and Financing** (in millions of dollars)

| Identification code 20-8007-0-7-651                  | 2000 actual   | 2001 est.      | 2002 est.      |                |
|--|---|----------------|----------------|----------------|
| <b>Obligations by program activity:</b>              |   |                |                |                |
| 10.00  | Total new obligations .....   | 56,673         | 61,143         | 65,087         |
| <b>Budgetary resources available for obligation:</b> |   |                |                |                |
| 22.00  | New budget authority (gross) .....                                  | 56,666         | 61,143         | 65,087         |
| 22.10  | Resources available from recoveries of prior year obligations ..... | 7              |                |                |
| 23.90  | <b>Total budgetary resources available for obligation .....</b>     | <b>56,673</b>  | <b>61,143</b>  | <b>65,087</b>  |
| 23.95  | <b>Total new obligations .....</b>                                  | <b>-56,673</b> | <b>-61,143</b> | <b>-65,087</b> |
| <b>New budget authority (gross), detail:</b>         |   |                |                |                |
| <b>Discretionary:</b>                                |   |                |                |                |
| 40.26  | Appropriation (trust fund, definite) .....                          | 1,435          | 1,532          | 1,606          |
| 40.76  | Reduction pursuant to P.L. 106-113 .....                            | -22            |                |                |
| 43.00  | <b>Appropriation (total discretionary) .....</b>                    | <b>1,413</b>   | <b>1,532</b>   | <b>1,606</b>   |
| <b>Mandatory:</b>                                    |   |                |                |                |
| 60.27  | Appropriation (trust fund, indefinite) .....                        | 75,649         | 80,962         | 86,238         |
| 60.45  | Portion precluded from obligation .....                             | -20,396        | -21,351        | -22,757        |
| 62.50  | <b>Appropriation (total mandatory) .....</b>                        | <b>55,253</b>  | <b>59,611</b>  | <b>63,481</b>  |
| 70.00  | <b>Total new budget authority (gross) .....</b>                     | <b>56,666</b>  | <b>61,143</b>  | <b>65,087</b>  |

**Change in unpaid obligations:**

|   |  |         |         |         |
|---|--|---------|---------|---------|
| <b>Unpaid obligations, start of year:</b> |  |         |         |         |
| 72.40                                     | Unpaid obligations, start of year .....    | 6,904   | 7,524   | 7,916   |
| 72.99                                     | Obligated balance, start of year .....     | 6,904   | 7,524   | 7,916   |
| 73.10                                     | Total new obligations .....                | 56,673  | 61,143  | 65,087  |
| 73.20                                     | Total outlays (gross) .....                | -56,046 | -60,751 | -64,710 |
| 73.45                                     | Recoveries of prior year obligations ..... | -7      |         |         |
| <b>Unpaid obligations, end of year:</b>   |  |         |         |         |
| 74.40                                     | Unpaid obligations, end of year .....      | 7,524   | 7,916   | 8,293   |
| 74.99                                     | Obligated balance, end of year .....       | 7,524   | 7,916   | 8,293   |

**Outlays (gross), detail:**

|       |  |               |               |               |
|-------|--|---------------|---------------|---------------|
| 86.90 | Outlays from new discretionary authority ..... | 1,377         | 1,386         | 1,465         |
| 86.93 | Outlays from discretionary balances .....      | 198           | 178           | 146           |
| 86.97 | Outlays from new mandatory authority .....     | 54,471        | 59,187        | 63,099        |
| 87.00 | <b>Total outlays (gross) .....</b>             | <b>56,046</b> | <b>60,751</b> | <b>64,710</b> |

**Net budget authority and outlays:**

|       |                        |        |        |        |
|-------|------------------------|--------|--------|--------|
| 89.00 | Budget authority ..... | 56,666 | 61,143 | 65,087 |
| 90.00 | Outlays .....          | 56,046 | 60,751 | 64,710 |

FEDERAL DISABILITY INSURANCE TRUST FUND—Continued

Program and Financing (in millions of dollars)—Continued

| Identification code 20-8007-0-7-651                         | 2000 actual | 2001 est. | 2002 est. |
|---|-------------|-----------|-----------|
| <b>Memorandum (non-add) entries:</b>                        |             |           |           |
| 92.01 Total investments, start of year: Federal securities: |             |           |           |
| Par value .....   | 92,666      | 113,707   | 135,387   |
| 92.02 Total investments, end of year: Federal securities:   |             |           |           |
| Par value .....   | 113,707     | 135,387   | 158,521   |

The disability insurance (DI) program provides monthly cash benefits for disabled workers under age 65 and their dependents.

Status of Funds (in millions of dollars)

| Identification code 20-8007-0-7-651                                    | 2000 actual | 2001 est. | 2002 est. |
|--|-------------|-----------|-----------|
| Unexpended balance, start of year:                                     |             |           |           |
| 0100 Treasury balance .....  | - 37        | - 64      | .....     |
| 0101 U.S. Securities: Par value .....                                  | 92,666      | 113,707   | 135,387   |
| 0199 Total balance, start of year .....                                | 92,628      | 113,644   | 135,387   |
| Cash income during the year:   |             |           |           |
| Current law:   |             |           |           |
| Receipts:  |             |           |           |
| 1200 FICA taxes .....  | 65,401      | 69,607    | 73,304    |
| 1201 SECA Taxes .....  | 3,772       | 3,692     | 4,118     |
| 1202 Refunds .....   | -270        | -345      | -355      |
| 1203 Deposits by States .....  | 4           | .....     | .....     |
| Offsetting receipts (proprietary):                                     |             |           |           |
| 1220 Treasury offset program .....                                     | 26          | 26        | 26        |
| 1222 Attorney user fees .....  | 8           | 39        | 39        |
| Offsetting receipts (intragovernmental):                               |             |           |           |
| 1240 Federal employer contributions .....                              | 1,093       | 1,142     | 1,233     |
| 1241 Interest received by trust fund .....                             | 6,265       | 7,593     | 8,669     |
| Offsetting receipts (intragovernmental):                               |             |           |           |
| 1242 Individual income taxes on DI benefits .....                      | 759         | 735       | 805       |
| 1242 Credit for unnegotiated DI checks .....                           | 2           | 3         | 3         |
| 1243 Federal payments for military service credits .....               | 2           | 2         | 2         |
| 1299 Income under present law .....                                    | 77,062      | 82,494    | 87,844    |
| Proposed legislation:  |             |           |           |
| Offsetting receipts (intragovernmental):                               |             |           |           |
| 2242 Federal payments, legislative proposal not subject to PAYGO ..... | .....       | .....     | -9        |
| 3299 Total cash income .....   | 77,062      | 82,494    | 87,835    |
| Cash outgo during year:  |             |           |           |
| Current law:   |             |           |           |
| Cash outgo during the year (-):  |             |           |           |
| 4500 Benefit payments .....  | -54,210     | -58,231   | -62,787   |
| 4500 Payments to Railroad Retirement Board .....                       | -159        | 12        | -185      |
| 4500 Administrative expenses (subject to limitation) .....             | -1,575      | -1,564    | -1,611    |
| 4500 Administrative expenses (Department of Treasury) .....            | -36         | -40       | -40       |
| 4500 Beneficiary services .....  | -66         | -86       | -75       |
| 4500 Demonstration projects .....                                      | .....       | -6        | -12       |
| 4500 Pre-1957 military service credits .....                           | .....       | -836      | .....     |
| 4599 Outgo under current law .....                                     | -56,046     | -60,751   | -64,710   |
| Unexpended balance, end of year:                                       |             |           |           |
| 8700 Uninvested balance .....  | -64         | .....     | -9        |
| 8701 Federal securities: Par value .....                               | 113,707     | 135,387   | 158,521   |
| 8799 Total balance, end of year .....                                  | 113,644     | 135,387   | 158,512   |

Object Classification (in millions of dollars)

| Identification code 20-8007-0-7-651  | 2000 actual | 2001 est. | 2002 est. |
|--|-------------|-----------|-----------|
| Purchases of goods and services from Government accounts:                          |             |           |           |
| 25.3 Office of the Inspector General .....   | 19          | 19        | 21        |
| 25.3 Beneficiary services .....  | 66          | 86        | 75        |
| 25.3 Demonstration projects .....  | .....       | 12        | 12        |
| 42.0 Disability insurance benefits .....   | 54,939      | 58,649    | 63,169    |
| 44.0 Refunds—Pre-1957 military service credits .....                               | .....       | 836       | .....     |
| Undistributed:   |             |           |           |
| 92.0 Reimbursement for administrative expenses of Department of the Treasury ..... | 36          | 40        | 40        |
| 92.0 Payment to railroad retirement account (net settlement) .....                 | 159         | -12       | 185       |

|  |        |        |        |
|--|--------|--------|--------|
| 93.0 Administrative expenses: Portion of limitation on administrative expenses, Social Security Administration ..... | 1,454  | 1,513  | 1,585  |
| 99.0 Subtotal, direct obligations .....  | 56,673 | 61,143 | 65,087 |
| 99.9 Total new obligations .....   | 56,673 | 61,143 | 65,087 |

LIMITATION ON ADMINISTRATIVE EXPENSES

For necessary expenses, including the hire of two passenger motor vehicles, and not to exceed **[\$10,000] \$35,000** for official reception and representation expenses, not more than **[\$6,583,000,000] \$7,035,000,000** may be expended, as authorized by section 201(g)(1) of the Social Security Act, from any one or all of the trust funds referred to therein: *Provided*, That not less than \$1,800,000 shall be for the Social Security Advisory Board: *Provided further*, That unobligated balances at the end of fiscal year **[2001] 2002** not needed for fiscal year **[2001] 2002** shall remain available until expended to invest in the Social Security Administration information technology and telecommunications hardware and software infrastructure, including related equipment and non-payroll administrative expenses associated solely with this information technology and telecommunications infrastructure: *Provided further*, That reimbursement to the trust funds under this heading for expenditures for official time for employees of the Social Security Administration pursuant to section 7131 of title 5, United States Code, and for facilities or support services for labor organizations pursuant to policies, regulations, or procedures referred to in section 7135(b) of such title shall be made by the Secretary of the Treasury, with interest, from amounts in the general fund not otherwise appropriated, as soon as possible after such expenditures are made.

From funds provided under the previous paragraph, notwithstanding the provision under this heading in Public Law 106-113 regarding unobligated balances at the end of fiscal year 2000 not needed for such fiscal year, an amount not to exceed \$50,000,000 from such unobligated balances shall, in addition to funding already available under this heading for fiscal year 2001, be available for necessary expenses.

From funds provided under the first paragraph, not less than \$200,000,000 shall be available for conducting continuing disability reviews.

In addition to funding already available under this heading, and subject to the same terms and conditions, **[\$450,000,000] \$433,000,000**, to remain available until September 30, **[2002] 2003**, for continuing disability reviews as authorized by section 103 of Public Law 104-121 and section 10203 of Public Law 105-33. The term "continuing disability reviews" means reviews and redeterminations as defined under section 201(g)(1)(A) of the Social Security Act, as amended.

In addition, **[\$91,000,000] \$106,000,000** to be derived from administration fees in excess of \$5.00 per supplementary payment collected pursuant to section 1616(d) of the Social Security Act or section 212(b)(3) of Public Law 93-66, which shall remain available until expended. To the extent that the amounts collected pursuant to such section 1616(d) or 212(b)(3) in fiscal year **[2001] 2002** exceed **[\$91,000,000] \$106,000,000**, the amounts shall be available in fiscal year **[2002] 2003** only to the extent provided in advance in appropriations Acts.

From funds previously appropriated for this purpose, any unobligated balances at the end of fiscal year **[2000] 2001** shall be available to continue Federal-State partnerships which will evaluate means to promote Medicare buy-in programs targeted to elderly and disabled individuals under titles XVIII and XIX of the Social Security Act.

From funds provided under the first paragraph, up to \$6,000,000 shall be available for implementation, development, evaluation, and other costs associated with administration of section 302 of the Ticket to Work and Work Incentives Improvement Act. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

|   | 2000 actual | 2001 est. | 2002 est. |
|---|-------------|-----------|-----------|
| <b>Obligations by program activity:</b> |             |           |           |
| Direct program .....                    | 6,785       | 7,308     | 7,574     |

|   |       |       |       |
|---|-------|-------|-------|
| Reimbursable program .....  | 22    | 39    | 56    |
| Total obligations .....   | 6,807 | 7,347 | 7,630 |
| <b>Budgetary resources available for obligation:</b>  |       |       |       |
| Unobligated balance available, start of year:   |       |       |       |
| Appropriation: U.S. securities: Par value .....   | 288   | 134   |       |
| New budget authority (gross) .....  | 6,629 | 7,163 | 7,630 |
| Unobligated balances transferred from prior years .....                                     | 54    | 50    |       |
| Recovery of prior year obligations .....  | 13    |       |       |
| Total budgetary resources available for obligation .....                                    | 6,984 | 7,347 | 7,630 |
| New obligations .....   | 6,807 | 7,347 | 7,630 |
| Unobligated balance expiring .....  | 43    |       |       |
| Unobligated balance available, end of year:   |       |       |       |
| Appropriation: U.S. securities: Par value .....   | 134   |       |       |
| <b>New budget authority (gross), detail:</b>  |       |       |       |
| Limitation on administrative expenses (LAE):  |       |       |       |
| Appropriations .....  | 6,192 | 6,674 | 7,141 |
| Supplemental appropriation pursuant to P.L. 106-246 .....                                   | 35    |       |       |
| Reduction pursuant to P.L. 106-113 .....  | -5    |       |       |
| Distribution by funding sources:  |       |       |       |
| Old Age and Survivors Insurance Trust Fund .....  | 1,750 | 1,865 | 1,860 |
| Disability Insurance Trust Fund .....   | 1,209 | 1,273 | 1,352 |
| Supplemental Security Income (SSI) Appropriation:   |       |       |       |
| Payment to OASI Trust Fund .....  | 2,142 | 2,349 | 2,627 |
| Hospital Insurance Trust Fund .....   | 556   | 581   | 634   |
| Supplementary Medical Insurance Trust Fund .....  | 482   | 513   | 560   |
| Increased fee for administration of State supplemental payments .....                       | 80    | 91    | 106   |
| Special Benefits for Certain World War II Veterans permanent indefinite appropriation ..... | 3     | 2     | 2     |
| Spending authority from reimbursable agreements .....                                       | 22    | 39    | 56    |
| Subtotal, LAE .....   | 6,244 | 6,713 | 7,197 |
| Adjustments to discretionary caps:  |       |       |       |
| Continuing disability reviews .....   | 405   | 450   | 433   |
| Reduction pursuant to P.L. 106-113 .....  | -20   |       |       |
| Total, including adjustments to discretionary caps .....                                    | 6,629 | 7,163 | 7,630 |
| <b>Change in unpaid obligations:</b>  |       |       |       |
| Unpaid obligations, start of year: Obligated balance:                                       |       |       |       |
| U.S. Securities: Par value .....  | 1,221 | 1,277 | 1,269 |
| New obligations .....   | 6,807 | 7,347 | 7,630 |
| Total outlays (gross) .....   | 6,751 | 7,355 | 7,622 |
| Unpaid obligations, end of year: Obligated balance:   |       |       |       |
| U.S. Securities: Par value .....  | 1,277 | 1,269 | 1,277 |
| <b>Outlay (gross), detail:</b>  |       |       |       |
| Outlays from new current authority .....  | 5,880 | 6,459 | 6,909 |
| Outlays from current balances .....   | 871   | 896   | 713   |
| Total outlays (gross) .....   | 6,751 | 7,355 | 7,622 |
| <b>Offsets:</b>   |       |       |       |
| Against gross budget authority and outlays:   |       |       |       |
| Offsetting collections (cash) from:   |       |       |       |
| Federal sources .....   | 14    | 26    | 42    |
| Non-Federal sources .....   | 8     | 13    | 14    |
| Total offsetting collections (cash) .....   | 22    | 39    | 56    |
| <b>Net budget authority and outlays:</b>  |       |       |       |
| Budget authority .....  | 6,607 | 7,124 | 7,574 |
| Outlays .....   | 6,729 | 7,316 | 7,566 |

The Limitation on administrative expenses account provides resources for the Social Security Administration (SSA) to administer the old-age, survivors, and disability insurance programs, the supplemental security income program, the special benefits for certain World War II veterans program and certain health insurance functions for the aged and disabled.

| Object Classification (in millions of dollars)           |             |           |           |
|--|-------------|-----------|-----------|
| Identification code 20-8007-0-7-651                      | 2000 actual | 2001 est. | 2002 est. |
| Limitation Acct—Direct Obligations:                      |             |           |           |
| Personnel compensation:                                  |             |           |           |
| 11.1   | 2,834       | 3,133     | 3,313     |
| 11.3   | 118         | 130       | 136       |
| 11.5   | 180         | 90        | 98        |
| 11.8   | 1           | 1         | 2         |
| 11.9   | 3,132       | 3,353     | 3,547     |
| 12.1   | 664         | 754       | 797       |
| 13.0   | 3           | 3         | 3         |
| 21.0   | 53          | 56        | 56        |
| 22.0   | 8           | 7         | 7         |
| 23.1   | 354         | 374       | 395       |
| 23.2   | 1           | 1         | 1         |
| 23.3   |             |           |           |
| 24.0   | 272         | 277       | 274       |
| 24.0   | 39          | 41        | 41        |
| 25.1   | 14          | 7         | 7         |
| 25.2   | 1,627       | 1,710     | 1,790     |
| 25.3   |             |           |           |
| 25.4   | 91          | 126       | 121       |
| 25.4   | 119         | 165       | 158       |
| 25.5   | 2           | 2         | 2         |
| 25.7   | 109         | 150       | 127       |
| 26.0   | 45          | 39        | 41        |
| 31.0   | 202         | 207       | 170       |
| 32.0   | 30          | 23        | 23        |
| 42.0   | 13          | 12        | 12        |
| 43.0   | 6           |           |           |
| 93.0   | -6,785      | -7,308    | -7,574    |
| 99.0   |             |           |           |
| Subtotal, limitation acct—direct obligations .....       |             |           |           |
| Limitation Acct—Reimbursable Obligations:                |             |           |           |
| Personnel compensation:                                  |             |           |           |
| 11.1   | 11          | 17        | 18        |
| 11.5   | 1           | 1         | 5         |
| 11.9   | 12          | 18        | 23        |
| 12.1   | 3           | 4         | 4         |
| 23.1   | 2           | 2         | 2         |
| 23.3   |             |           |           |
| 24.0   | 1           | 1         | 10        |
| 24.0   |             |           | 2         |
| 25.3   |             |           |           |
| 25.4   | 1           | 11        | 12        |
| 25.4   | 1           | 1         | 1         |
| 25.7   | 1           | 1         | 1         |
| 31.0   | 1           | 1         | 1         |
| 93.0   | -22         | -39       | -56       |
| 99.0   |             |           |           |
| Subtotal, limitation acct—reimbursable obligations ..... |             |           |           |

**Personnel Summary**

| Identification code 20-8007-0-7-651 | 2000 actual | 2001 est. | 2002 est. |
|-------------------------------------|-------------|-----------|-----------|
| Limitation account—direct:          |             |           |           |
| 6001                                | 61,698      | 62,310    | 62,580    |
| Limitation account—reimbursable:    |             |           |           |
| 7001                                | 231         | 296       | 296       |

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|  | 2000 actual | 2001 est. | 2002 est. |
|--|-------------|-----------|-----------|
| Offsetting receipts from the public:                   |             |           |           |
| 75-241800  | 149         | 150       | 152       |
| 75-309600  | 1,405       | 1,402     | 1,578     |
| General Fund Offsetting receipts from the public ..... | 1,554       | 1,552     | 1,730     |
| Intragovernmental payments:                            |             |           |           |
| 20-310520  |             | 836       |           |
| General Fund Intragovernmental payments .....          |             | 836       |           |

As directed by Section 104 of P.L. 103–296, the Social Security Independence and Program Improvements Act of 1994, the Commissioner of Social Security shall prepare an annual budget for the Social Security Administration (SSA), which shall be submitted by the President to the Congress without revision, together with the President’s annual budget for SSA.

Pursuant to this authority, the former Commissioner submitted an annual budget for fiscal year 2002 during the previous Administration. This annual budget was not submitted as part of a formal budget process, as agencies in the previous

Administration were not asked to submit FY 2002 requests. SSA, like other agencies, did not submit an FY 2002 budget request to the current Administration. The previous Commissioner’s budget included total administrative resources of \$8,122 million. This represented \$8,015 million for SSA administrative expenses, \$12 million for trust-fund financed demonstration projects authorized by Section 234 of the Social Security Act, and \$95 million for the Office of the Inspector General.